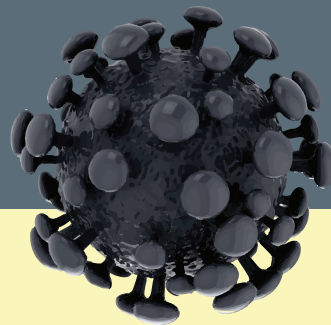
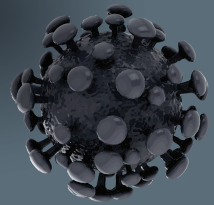
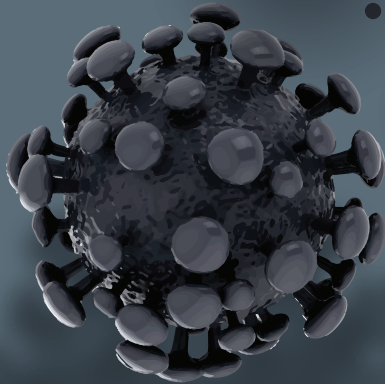




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Covid-19 in Kenya: Indices on County Healthcare Capacity and Populations at Risk

COVID-19 in Kenya: Indices on County Healthcare Capacity and Populations at Risk

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Acronyms and Abbreviation

| | |
|------------|--|
| CDC | Centre for Disease Control |
| CHV | Community Health Volunteers |
| CoG | Council of Governors |
| COVID-19 | Corona Virus Disease |
| FELTP | Kenya Field Epidemiology and Laboratory Training Program |
| KEMRI | Kenya Medical Research Institute |
| KIPPRA | Kenya Institute of Public Policy and Research Institute |
| KNBS | Kenya National Bureau of Statistics |
| MOH | Ministry of Health |
| MTRH | Moi Teaching and Referral Hospital |
| NERC | National Emergency Response Committee |
| NHIF | National Health Organisation |
| PCR | Polymerase Chain Reaction |
| SARS-COV-2 | Severe Acute Respiratory Syndrome Coronavirus-2 |
| WHO | World Health Organisation |



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Executive Summary

The virus, COVID-19 is burdening an already strained healthcare system in Kenya. Under the 2010 Constitution and after the 2013 election, healthcare is one of the 14 functions that has been devolved to the newly formed 47 counties. County governments are now at the frontline of delivering services to address the COVID-19 pandemic. However, healthcare capacity in the 47 counties is varied. Historical inequalities across regions, and in the health sector, date back to colonial times and despite seven years of devolution (2013-2020), convergence towards a uniform healthcare system across counties is far from a reality. This report offers some empirical evidence on which of the 47 counties in Kenya are best and least-well situated to deal with the Covid-19 virus. The purpose here is to assist policy makers in determining where limited resources, financial, human, and medical, can best be employed and where additional support may be provided by the national government to augment local resources.

The report presents data on two critical dimensions of countries specific circumstances: their healthcare capacity to respond to the virus and the risk to their population of contracting the disease. The data are presented in the form of two indices, specifically a healthcare capacity index and a population risk index. As the objective is cross-county comparisons, these are both relative measures. Another measure on county population size is also provided since the absolute size of a county's population may be a factor in how resources need to be deployed.

The analysis groups counties in four quadrants. The best situated counties are the ones that score highest in healthcare capacity and the lowest in population risk (high capacity/low risk quadrant). Conversely, the counties that score the lowest in capacity and highest in risk are the most vulnerable in relative terms (low capacity/high risk quadrant). The other quadrants are high risk and high capacity and low risk and low capacity. However, even counties with high capacity may not be as secure as they may seem since a large population size may overwhelm existing healthcare capacity if the disease spreads widely.

Seven counties -- Siaya, Vihiga, Kitui, Kwale, Homa Bay, Kilifi, and Uasin Gishu appear to be in the high risk/low capacity quadrant; hence they are the least prepared counties for an upsurge of virus cases. Other factors also disadvantage these counties. They all have relatively large populations of close to or over 1 million except for Vihiga. The low risk/low capacity quadrant contains 16 counties several of which could be problematic, despite their low risk, because their population size could overwhelm their limited capacity. Five counties have populations of over 1 million with another seven at or close to 900,000. The high risk/high capacity quadrant contains 17 counties, including

Kenya's busiest counties –Nairobi and Mombasa—again vulnerable due to their large population size, 4.4 million and 1.2 million, respectively, despite their high capacity. Only seven counties are in the most preferred low risk/high capacity quadrant. Most are of moderate size except for Kajiado with a population over 1 million.

The policy recommendations, based on this analysis, put forward in this report are four-fold;

1. **Testing should prioritize high risk counties:** In the absence mass testing, policy- makers allocating scarce testing resources need to prioritize testing in high risk counties, including counties with high capacity where population size is significant
2. **Special measures for counties at the borders:** Testing and quarantine facilities need to be strengthened at border counties .to limit the spread of the disease. County borders are porous in Kenya, and border counties are significant vectors for transference of the disease from outside the country.
3. **Better coordination between the national and local government:** To effectively achieve the benefits of decentralization in a devolved structure of healthcare services, better coordination between national and local government is important. A step here would be to have representation of the Council of Governors, on the National Emergency Response Committee (NERC). Further, a special sub-committee of high-risk counties may be merited.
4. **Better public health messaging:** the most effective means to contain the spread of COVID-19, are social distancing, wearing masks, and rigorous programs for tracing and isolation. Given that risk is greater in some counties than others, strategic messaging targeted to counties in line with their specific evidence-based circumstances is important.

1

1.0 Introduction

In December 2019, the World Health Organisation (WHO) received reports about a disease with pneumonia-like symptoms from Wuhan, a city in China. The disease, COVID-19, is caused by the severe acute respiratory syndrome coronavirus-2 (SARS-COV-2). On March 11, the WHO declared it a pandemic due to the disease's global spread and severity (WHO, 2020). Two days later, Kenya confirmed its first positive case of COVID-19, an announcement that triggered a debate about public health, and how prepared Kenya's health system was to protect its citizens from the virus.

COVID-19 is burdening an already strained health system in Kenya, much as it has strained health systems in more advanced economies. The 2010 Constitution of Kenya lists "the highest attainable standard of health" as a constitutional right. The same constitution also instituted a radical governance reform of Kenya, specifically the decentralization of significant government responsibilities from the national government to 47 newly established counties. Healthcare is, perhaps, the most important function that has been devolved, with major consequences for how the COVID-19 response will be managed.

Under decentralization, the responsibility of providing preventive and curative health services lies with the new county governments in Kenya. County governments now manage level one-to five (out of six levels) of all healthcare facilities and are now at the frontline of managing the response to COVID-19. The COVID-19 pandemic has hit Kenya seven years after decentralization was institutionalized and it is the first systematic test of the new governance structure of the healthcare sector. Historical inequalities across regions in Kenya date back to the colonial times, and this has also been perpetuated post-independence. The result has been a very uneven healthcare system across Kenya (Wanyande, 2016). Despite seven years of decentralized government, and strategic priority given to the healthcare sector by both the national and sub-national level governments, convergence toward a uniform healthcare system across counties is far from a reality in Kenya. Different counties are at very different levels of capacity to respond to the pandemic. Sub-national or county level capacity to respond to the COVID-19 is only one factor in how counties will be able to respond to the spread of the disease. The other factor is the risk to each county's population of being infected by the disease based on characteristics that determine its epidemiological burden. Policy-makers in Kenya will need to consider both of these factors to determine how best to respond to the pandemic.

The purpose of this report is to provide guidance to Kenyan policy-makers, through empirical evidence, on country healthcare system capacity and population risk to COVID-19. It does this by

constructing two indices, one on healthcare capacity (the capacity index), for measuring the relative capability of counties to respond to the virus, and a population risk index (risk index) for the relative risk faced by the residents of the county. The indices provide tools for policymakers for assessing sub-national risk and capacity and also for making decisions regarding county support.

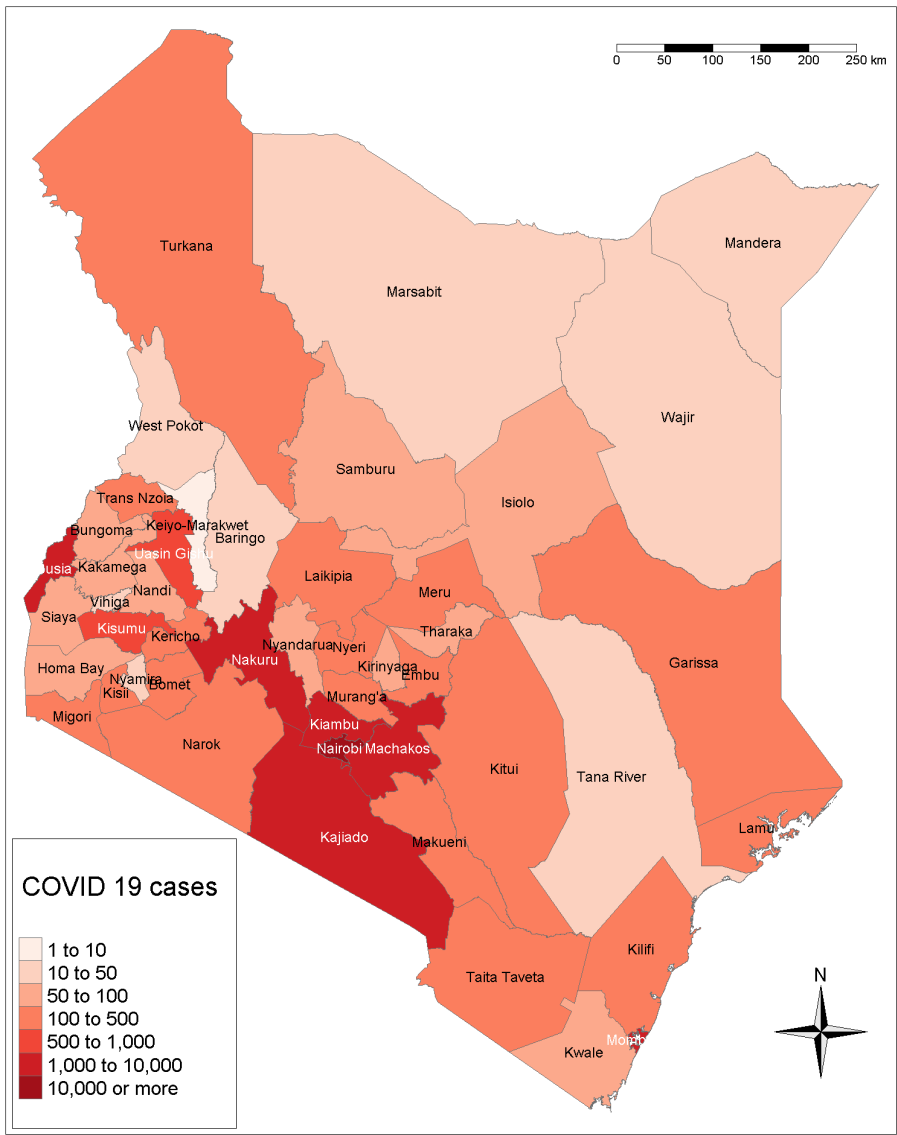
This report is structured in three parts. The first section is this introduction, which also offers some background, including the current status of the disease in Kenya, and an overview of the healthcare system post-decentralization. Section 2, which is the key contribution of this report, discusses the construction of the indices and results. The capacity index, which focuses on the healthcare system, includes 14 factors such as the number of healthcare workers, budget allocated to health services, available beds and cots, and the number of facilities per square kilometre. The population risk index, composed of seven factors, focuses on the people's demographic traits such as their age and HIV prevalence, among others. Section 3 summarizes current policies the Government of Kenya (GOK) has put in place and suggests certain adjustments, in light of the insights from the analysis in Section 2, to respond to COVID-19.

1.1 The status of the pandemic in Kenya as of September 30, 2020

The status of COVID-19 as of September 30th¹ is given in Figure 1. As of this date, 54,7946 tests have been conducted (1,152 per 100,000 population); the number of cases that tested positive amounted to 38,529 (81 per 100,000 population) and the number of fatalities was 711, at a 1.8 per cent fatality rate. The top four counties with the highest percentages of total cases were Nairobi (53.5per cent), Mombasa (7.5per cent), Kiambu (7.1per cent), and Kajiado (5.1per cent), which together equal 73.2 per cent of all cases in the country.

¹Data on COVID-19 in Kenya is as of 30th of September, 2020 when this report was finalized

Figure 1: Status of COVID-19 in Kenya, as September 30, 2020



Summary as at 30th September 2020

- Number of tests: **547, 946** (1152 per 100,000 population)
- Number of cases: **38,529** (81 per 100,000 population)
- Number of recoveries: **24,908**; Recovery rate: **64.6%**
- Number of fatalities: **711**; Fatality rate: **1.8%**

Source: Author’s Computation

The Ministry of Health reported that capacity to test in Kenya for COVID-19 as at June 2020 is 7,300 samples per day for both the public and private laboratories (Ministry of Health, 2020). As of September 30, 2020 (exactly 229 days since the first case was reported in Kenya), only 547,946 tests had been conducted, a daily average of 2,392 tests, a number significantly below stated capacity.

1.2 Healthcare services in Kenya post-2013

In March 2013, 47 county governments took control over healthcare systems in their jurisdictions, the consequence of a far-reaching decentralization program mandated in the 2010 Constitution. There are three forms of decentralization: (1) deconcentrating, where the national government places staff at the local level but retain decision-making power; (2) delegating, where management of some public functions is transferred to a semi-autonomous or parastatal organizations; (3) devolution which is “the transfer of authority and responsibility (political, administrative, and financial) from central to lower levels of government for a range of public functions” (Williamson and Mulaki, 2015).

There are elements of all three forms of decentralization in Kenya’s management of the healthcare system. The dominant structure under which the healthcare sector is managed is devolution, although some aspects of deconcentrating (for example, seconded staff to county facilities) and delegation (for example, the National Hospital Insurance Fund county offices that are answerable to headquarters) continue to exist. The shift of fiscal and administrative responsibility of the sector to sub-national government, closer to people who receive those services and who can politically hold local politicians accountable for their performance through elections, is seen to boost efficiency of those services, and lead to greater accountability of politicians (and bureaucrats), for that sector’s performance.

Table 1 shows the demarcation of responsibilities of the national and county government for the healthcare sector under devolution as per the Fourth Schedule of the Constitution of Kenya (2010). Under devolution, the national government is responsible for regulation, policy formulation and monitoring, while the counties are responsible for the management of service delivery at the front line. Preventive and curative services are moved to the control of county governments. These include county health facilities and pharmacies, ambulance services, promotion of primary healthcare as well as human resources. The national government focuses on, policy development, management of national referral health facilities, and technical assistance to counties (Republic of Kenya, 2010). For COVID-19 management, the devolved responsibilities that are particularly relevant are the management of county health facilities and pharmacies, disease surveillance and response, and disaster management.

Table 1: Division of labour between national and county government

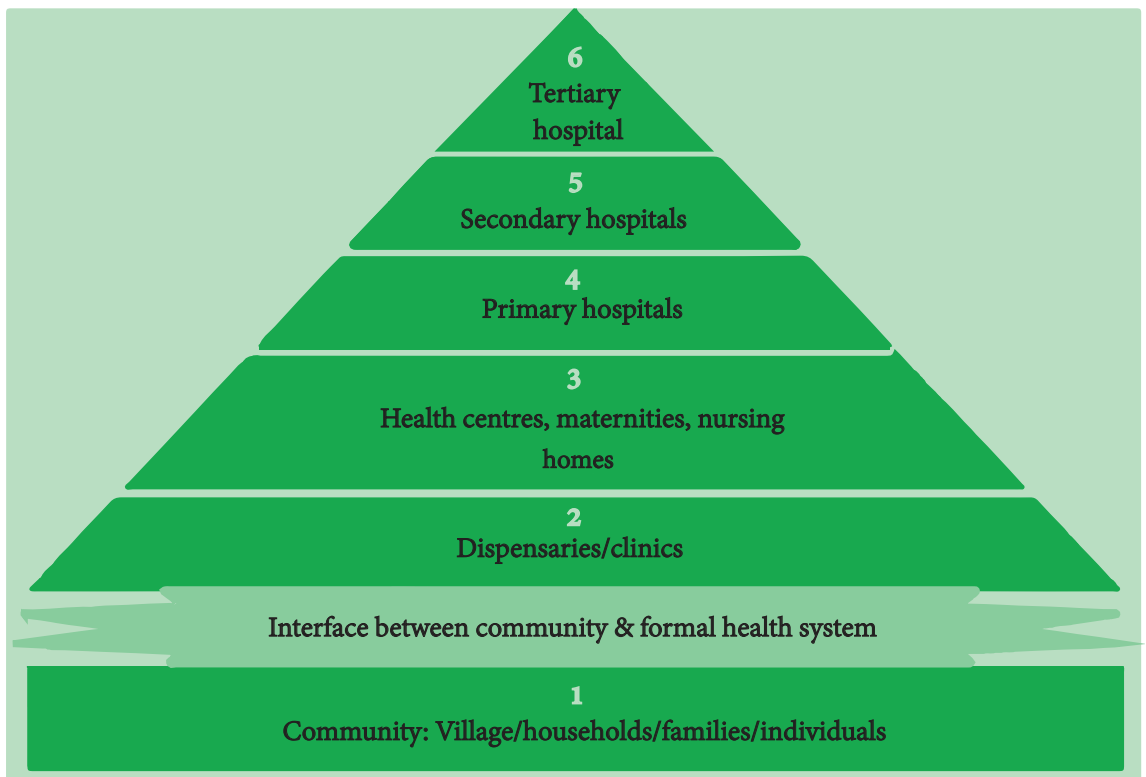
| National government | County government |
|--|--|
| <ul style="list-style-type: none"> Health information, communication and technology | <ul style="list-style-type: none"> County health facilities and pharmacies |
| <ul style="list-style-type: none"> Health policy | <ul style="list-style-type: none"> Ambulance services |
| <ul style="list-style-type: none"> Financing | <ul style="list-style-type: none"> Promotion of primary healthcare |
| <ul style="list-style-type: none"> National referral hospitals | <ul style="list-style-type: none"> Public health and sanitation |
| <ul style="list-style-type: none"> Quality assurance and standards | <ul style="list-style-type: none"> Licensing and control of agencies that sell food to the public |
| <ul style="list-style-type: none"> National public health laboratories | <ul style="list-style-type: none"> Disease surveillance and response |
| <ul style="list-style-type: none"> Public-private partnerships | <ul style="list-style-type: none"> Veterinary services (excluding regulation of veterinary professionals) |

| | |
|---|---|
| <ul style="list-style-type: none"> Monitoring and evaluation | <ul style="list-style-type: none"> Cemeteries, funeral homes, crematoria, refuse dumps, solid waste disposal |
| <ul style="list-style-type: none"> Planning and budgeting for national health services | <ul style="list-style-type: none"> Control of drugs of abuse and pornography |
| <ul style="list-style-type: none"> Services from the Kenya Medical Supplies Agency (KEMSA), National Hospital Insurance Fund (NHIF), Kenya Medical Training College (KMTTC) and the Kenya Medical Research Institute (KEMRI) | <ul style="list-style-type: none"> Disaster management |
| <ul style="list-style-type: none"> Ports, boundaries and trans-boundary areas | |
| <ul style="list-style-type: none"> Major disease control (malaria, TB, leprosy) | |

Source: Republic of Kenya (2010)

The devolved health system is organized in six levels: level one and two are for primary care and community health for preventive medicine; and levels three to six, for curative and referral services. Levels one to five are managed by the county governments. Facilities at level six are owned and managed by the national government and are solely for referral services (Ministry of Health of Kenya, 2014b). The community level focuses on organizing appropriate demand for services, while primary care and primary referral services respond to this demand (Figure 2).

Figure 2: Health service levels in Kenya



Source: Ministry of Health

The majority of the healthcare facilities (estimated to be about 80 per cent) are level two and three facilities. These are focused on primary healthcare, and include community health facilities, dispensaries and health centres. Levels four and five comprise secondary health facilities which provide specialized services such as surgeries (Ministry of Health, 2005). Level six facilities-the national referral hospitals-are highly-specialized tertiary hospitals which also provide healthcare but have additional roles of training and research. These facilities include: Mathari Teaching and Training Hospital, Kenyatta University and Training Hospital, Kenyatta National Hospital and the National Spinal Injury Referral Hospital in Nairobi County. The others are Moi Teaching and Referral Hospital in Eldoret (KMPDC, 2019). The distribution of the facilities shows that the GOK, national and counties, owns the largest share (43 per cent) of the facilities, followed by the private sector (38 per cent), and then faith-based organizations (11 per cent). Non-Governmental Organizations and other institutions own the rest (8 per cent) (Ministry of Health of Kenya, 2014a).

2

2.0 Healthcare Capacity and Population Risk Indices

This section of the report addresses the question of which of Kenya's 47 counties are best and least- well situated to deal with the COVID-19 virus. The purpose here is to assist policy-makers in determining where additional support may be provided by the national government to augment local county resources. For this purpose, data are presented here on two critical dimensions of the counties' specific circumstances: their healthcare capacity to respond to the virus and the risks to their populations of contracting the disease. In each case the data are presented in the form of an index. Thus, a healthcare capacity index and a population risk index are the tools which will be used throughout this section to analyse the specific situation of each county².

2.1. The approach

It should be noted that both the healthcare capacity index and the population risk index are relative measures since the objective is to afford cross-county comparisons. This is accomplished by putting all data on either a per capita or other relative basis. However, since the absolute size of a county's population may also be a factor in considering where additional resources may best be deployed, this information is included as a separate measure as discussed further below. The healthcare capacity index (capacity index) is composed of 14 separate indicators, and the population risk index (risk index) for measuring the relative risks faced by the residents in each of the counties is composed of seven indicators. The specific indicators for each index are listed in Table 2.

²On the capacity index, see the related article by Bitton, A. et. al. (2017) 'Building resilient health systems: a proposal for a resilience index'. *The British Medical Journal*.]

Table 2: List of healthcare capacity and population risk indicators

| Capacity Indicators | |
|---------------------|---|
| Indicator 1 | Per Capita Health Expenditure (Recurrent) |
| Indicator 2 | Doctors per 100,000 population |
| Indicator 3 | Nurses per 100,000 population |
| Indicator 4 | Clinical Officers per 100,000 population |
| Indicator 5 | Public Health Workers per 100,000 population |
| Indicator 6 | Medical Workers per 100,000 population |
| Indicator 7 | Primary Health Facilities per 100,000 population |
| Indicator 8 | County and Sub County Health Facilities per 100,000 population |
| Indicator 9 | Total Beds and Cots Facilities per 100,000 population |
| Indicator 10 | Number of Medical Labs per 100,000 population |
| Indicator 11 | Facilities for Improved Water and Sanitation (per cent of population with access) |
| Indicator 12 | Facilities for Hand Washing near Toilets (per cent of population with access) |
| Indicator 13 | Facilities for Communication (per cent of population with mobile phones) |
| Indicator 14 | Total Health Facilities per 100 Km ² |
| Risk Indicators | |
| Indicator 1 | per cent Population (60+ years) |
| Indicator 2 | per cent Urban population |
| Indicator 3 | Mortality Rate (Deaths per 100,000 population) |
| Indicator 4 | Morbidity Rate (Cases per 100,000 population) |
| Indicator 5 | HIV prevalence (per cent) |
| Indicator 6 | Distance to the nearest Airport (Nairobi or Mombasa) |
| Indicator 7 | Visitors to Game Parks and Museums |

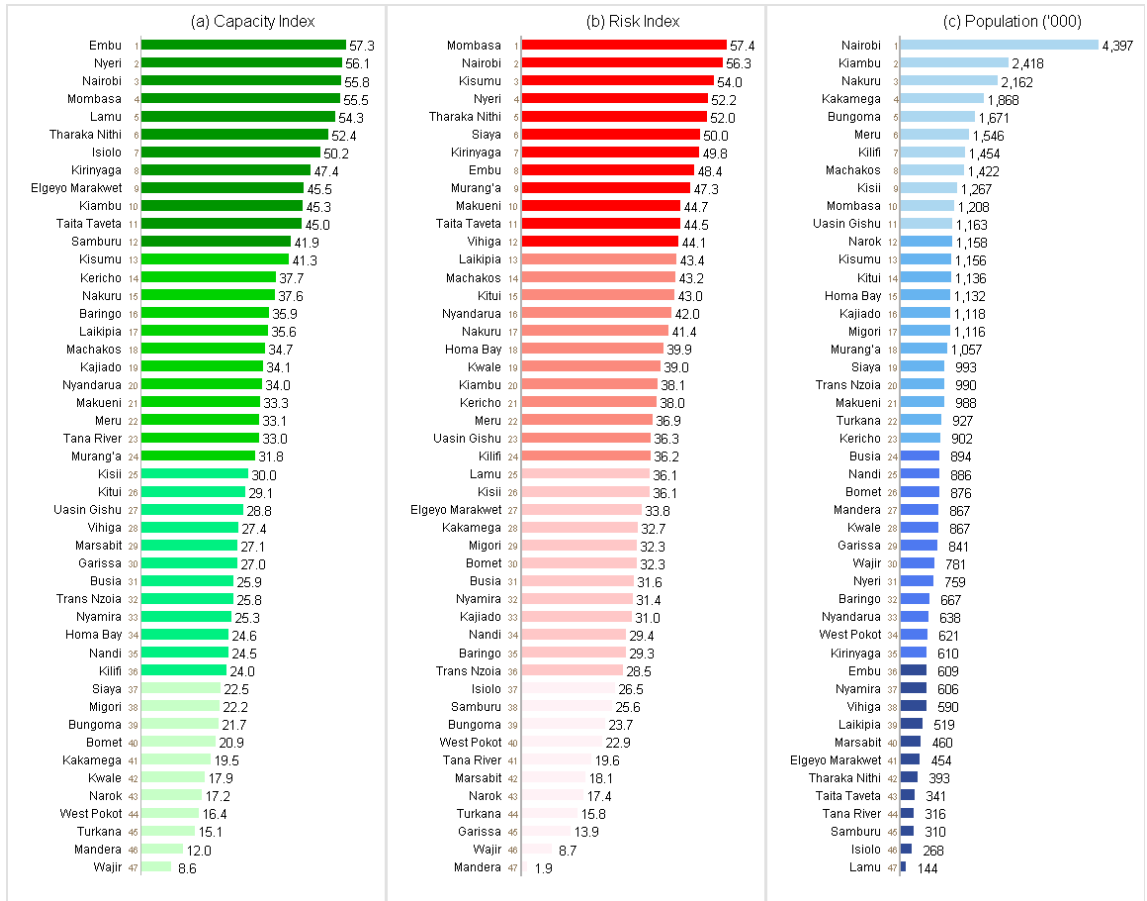
Source: Author's Computation

For each index, the relative scores on all the subcomponent indicators are shown in the Annex to this report. The indicators for each index are then equally weighted to develop the final indices. The Annex presents the specific methodology used to develop the capacity and risk indices as well as the detailed tables and data sources. A useful extension of the current analysis would be to accord a higher risk of infection to border counties, perhaps based on conditions of the countries on the other side of the borders – Sudan, Ethiopia, Somalia, Tanzania, and Uganda.

2.2 Results of counties' capacity and risk

The diagram below shows how the 47 counties rank on healthcare capacity, population risk, and population size, in thousands.

Figure 3: Summary of the capacity and risk index ranking



Source: Author's Computation

According to these computations, the best situated counties are the ones that score highest in health capacity and lowest in population risk. Conversely, the counties that score lowest in capacity and highest in risk are the most vulnerable in relative terms. As shown in the healthcare capacity index, the first column in **green**, the counties of Embu, Nyeri, Nairobi, Mombasa, and Lamu are best endowed with health facilities and/or health professionals on a per capita basis while Turkana, Mandera, and Wajir suffer from very limited relative healthcare capacity.

In the population risk index, in **red**, Mombasa, Nairobi, Kisumu, Nyeri, and Tharaka Nithi face the greatest risks per capita while Garissa, Wajir, and Mandera face relatively low risk. These results are hardly surprising. Kenya's capital and the country's richest county (Nairobi) and other cities (Mombasa, Nakuru and Eldoret in Uasin Gishu County) have the most health facilities and healthcare

professionals (Ministry of Health of Kenya, 2015). Although these counties are best equipped, they are also the most at risk because of their urbanized nature and could find their facilities overwhelmed by extremely high caseloads. Also, like many cities in Lower Middle-Income Countries these urban cities are undergoing an epidemiological transition: a change in disease patterns where morbidity and mortality and infectious epidemics are falling, while deaths from chronic, non-communicable and degenerative diseases are increasing (Onyango and Onyango, 2018). Even under these conditions, the underlying incidence of disease complicates patients' outcomes when they are affected by COVID-19. Major cities are also international tourist destinations, with visitors arriving through airports, thereby facilitating the entry and spread of COVID-19 throughout the population.

An adequate number of healthcare workers per 10,000 population is a crucial component to disease response (Nuzzo et al., 2019). Therefore, Mandera with only 4 doctors and 90 nurses and Wajir with 14 doctors and 54 nurses, unsurprisingly, have low scores for healthcare capacity, a result further undermined by relatively fewer beds and cots (860 in Mandera and 506 in Wajir). In sharp contrast, Nairobi has a population of 4.4 million (KNBS, 2019) which is about five times that of Mandera and Wajir, yet it has 413 doctors and over 1,000 nurses. Nairobi also has over 8,500 beds and cots, which is 10 to 20 times more than the capacity of Wajir and Mandera³. Embu's position as a high capacity county is due to the relatively high numbers of medical professionals and facilities. The Embu County government spends more money on health on a small population of just about 600,000 as compared to other counties.

Table 3: Distribution of COVID-19 cases as of 30th September 2020

NE Quadrant (High Population Risk & High Health Capacity)

| County | Overall Score (Capacity) | Overall Score (Risk) | COVID-19 Cases | Population (2019) | COVID-19 Cases per 100,000 population |
|------------------|--------------------------|----------------------|----------------|-------------------|---------------------------------------|
| 1. Nairobi | 56.39 | 55.72 | 20,650 | 4,397,073 | 469.6 |
| 2. Mombasa | 54.95 | 58.02 | 2,902 | 1,208,333 | 240.2 |
| 3. Kiambu | 45.31 | 38.14 | 2,747 | 2,417,735 | 113.6 |
| 4. Machakos | 34.67 | 43.17 | 1,323 | 1,421,932 | 93.0 |
| 5. Nakuru | 37.62 | 41.37 | 1,131 | 2,162,202 | 52.3 |
| 6. Kisumu | 41.34 | 54.03 | 551 | 1,155,574 | 47.7 |
| 7. Kericho | 37.74 | 38.04 | 357 | 901,777 | 39.6 |
| 8. Nyeri | 56.07 | 52.23 | 295 | 759,164 | 38.9 |
| 9. Laikipia | 35.64 | 43.37 | 268 | 518,560 | 51.7 |
| 10. Murang'a | 31.85 | 47.30 | 193 | 1,056,640 | 18.3 |
| 11. Taita Taveta | 45.00 | 44.46 | 174 | 340,671 | 51.1 |
| 12. Makueni | 33.28 | 44.67 | 167 | 987,653 | 16.9 |
| 13. Embu | 57.31 | 48.37 | 161 | 608,599 | 26.5 |

³Further, Mandera and Wajir are border counties with Somalia, a country that has reported over 3,588 COVID-19 cases as of Sep 30, hence this is likely to increase the cross-border risks of the importation of the virus.

| | | | | | |
|-------------------|--------------|--------------|---------------|-------------------|------------|
| 14. Meru | 33.06 | 36.90 | 139 | 1,545,714 | 9.0 |
| 15. Kirinyaga | 47.42 | 49.82 | 87 | 610,411 | 14.3 |
| 16. Nyandarua | 34.04 | 42.04 | 59 | 638,289 | 9.2 |
| 17. Tharaka Nithi | 52.38 | 51.98 | 53 | 393,177 | 13.5 |
| Sub-Total | 41.34 | 44.67 | 31,257 | 21,123,504 | 148 |

NW Quadrant (High Population Risk & Low Health Capacity)

| County | Overall Score (Capacity) | Overall Score (Risk) | COVID-19 Cases | Population (2019) | COVID-19 Cases per 100,000 population |
|------------------|--------------------------|----------------------|----------------|-------------------|---------------------------------------|
| 1. Uasin Gishu | 28.79 | 36.34 | 605 | 1,163,186 | 52.0 |
| 2. Kitui | 29.14 | 43.04 | 303 | 1,136,187 | 26.7 |
| 3. Kilifi | 23.97 | 36.20 | 228 | 1,453,787 | 15.7 |
| 4. Kwale | 17.87 | 38.96 | 82 | 866,820 | 9.5 |
| 5. Siaya | 22.47 | 49.99 | 81 | 993,183 | 8.2 |
| 6. Homa Bay | 24.60 | 39.89 | 68 | 1,131,950 | 6.0 |
| 7. Vihiga | 27.39 | 44.10 | 36 | 590,013 | 6.1 |
| Sub-Total | 24.60 | 39.89 | 1,403 | 7,335,126 | 19.1 |

Source: Author's Computation

SW Quadrant (Low Population Risk & Low Health Capacity)

| County | Overall Score (Capacity) | Overall Score (Risk) | COVID-19 Cases | Population (2019) | COVID-19 Cases per 100,000 population |
|------------------|--------------------------|----------------------|----------------|-------------------|---------------------------------------|
| 1. Busia | 25.86 | 31.63 | 1,246 | 893,681 | 139.4 |
| 2. Migori | 22.16 | 32.30 | 464 | 1,116,436 | 41.6 |
| 3. Carissa | 27.03 | 13.93 | 390 | 841,353 | 46.4 |
| 4. Kisii | 30.04 | 36.08 | 326 | 1,266,860 | 25.7 |
| 5. Narok | 17.23 | 17.44 | 264 | 1,157,873 | 22.8 |
| 6. Turkana | 15.08 | 15.76 | 216 | 926,976 | 23.3 |
| 7. Trans Nzoia | 25.80 | 28.47 | 178 | 990,341 | 18.0 |
| 8. Bomet | 20.87 | 32.29 | 143 | 875,689 | 16.3 |
| 9. Kakamega | 19.50 | 32.70 | 84 | 1,867,579 | 4.5 |
| 10. Nandi | 24.47 | 29.40 | 74 | 885,711 | 8.4 |
| 11. Bungoma | 21.74 | 23.69 | 61 | 1,670,570 | 3.7 |
| 12. Wajir | 8.64 | 8.67 | 41 | 781,263 | 5.2 |
| 13. Mandera | 11.97 | 1.90 | 29 | 867,457 | 3.3 |
| 14. Nyamira | 25.26 | 31.39 | 27 | 605,576 | 4.5 |
| 15. Marsabit | 27.07 | 18.06 | 24 | 459,785 | 5.2 |
| 16. West Pokot | 16.43 | 22.86 | 17 | 621,241 | 2.7 |
| Sub-Total | 21.95 | 26.08 | 3,584 | 15,828,391 | 22.6 |

SE Quadrant (Low Population Risk & High Health Capacity)

| County | Overall Score (Capacity) | Overall Score (Risk) | COVID-19 Cases | Population (2019) | COVID-19 Cases per 100,000 population |
|--------------------|--------------------------|----------------------|----------------|-------------------|---------------------------------------|
| 1. Kajiado | 34.13 | 30.98 | 1,973 | 1,117,840 | 176.5 |
| 2. Lamu | 54.34 | 36.12 | 118 | 143,920 | 82.0 |
| 3. Isiolo | 50.21 | 26.45 | 61 | 268,002 | 22.8 |
| 4. Samburu | 41.85 | 25.65 | 56 | 310,327 | 18.0 |
| 5. Baringo | 35.90 | 29.35 | 43 | 666,763 | 6.4 |
| 6. Tana River | 32.99 | 19.65 | 25 | 315,943 | 7.9 |
| 7. Elgeyo Marakwet | 45.49 | 33.84 | 9 | 454,480 | 2.0 |
| Sub-Total | 41.85 | 29.35 | 2,285 | 3,277,275 | 69.7 |

Source: Author's Computation

To their benefit, Wajir and Mandera, with populations of 780,000 and 867,000 respectively, also rank at the bottom of the population risk index. As noted earlier, these counties are also examples of populations at somewhat greater risk than these numbers would indicate due to their border locations. They have a small percentage of the population aged 65 and above, considered a “vulnerable population” for COVID-19 (United Nations, 2020); have low mortality and morbidity rates; and also, no special attractions for visitors such as game parks.

It is not just the relative capacity and risk measures across counties that should be taken into account when making decisions for policy purposes. Decisions should also consider the total size of the populations that could be affected in each county as shown in the third bar graph in blue. The COVID-19 pandemic could well overwhelm capacity across all counties in Kenya, even for those relatively better endowed with medical staff and facilities. Table 3 and Figure 1 present, in tabular and visual forms respectively, the circumstances of each county with respect to capacity, risk, and population size. The table assigns the 47 counties to one of four categories based on their scores shown in Figure 3 relative to the median values for the capacity and risk indices: (1) relatively high risk and low healthcare capacity, (2) relatively low risk and high capacity, (3) relatively high risk and high capacity, and (4) relatively low risk and low capacity. For each county, Table 3 also shows its population size and the number of reported cases of the virus as of September 30.

Figure 4 is a scatter plot diagram of much of this same information designed to better illustrate the situation of each county. In this diagram capacity, risk and population size are all represented. Scores on the health capacity index are plotted along the X axis, and scores on the risk index along the Y axis. The two dotted lines are positioned at the median scores for the two indices, the horizontal line for the population risk index and the vertical line for the health capacity index. Population size for each county is represented by the size of the circle.

The diagram is divided into four quadrants, each representing the categories of Table 3. The Northwest (NW) quadrant shows counties with relatively high risk and low health capacity, or those that appear to be most vulnerable to the COVID-19 virus. The Southeast (SE) quadrant shows counties with

relatively low risk and high capacity. Counties in the Northeast (NE) quadrant have both relatively high risk and high health capacity, while those in Southwest (SW) quadrant have relatively low risk and low capacity.

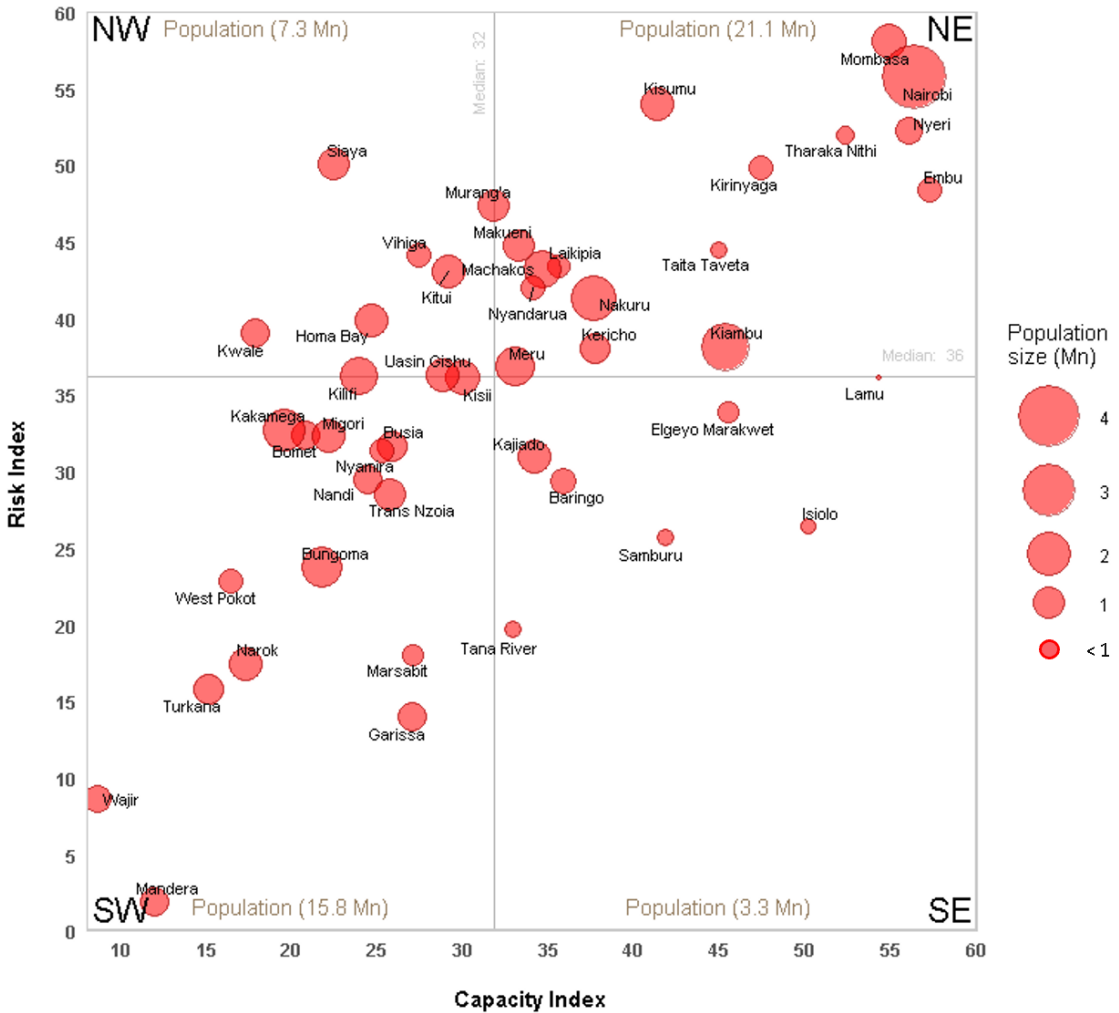
From the seven counties - Siaya, Vihiga, Kitui, Kwale, Homa Bay, Kilifi, and Uasin Gishu - appear to be in the high risk/low capacity NW quadrant (the last two barely so), hence the least prepared counties for an upsurge of virus cases. Other factors also disadvantage these counties. They have quite a large population of close to or over 1 million except for Vihiga (590,000 people).

The SW low risk/low capacity quadrant contains 16 counties several of which could be problematic, despite their low risk, because their population size could overwhelm their limited capacity. Five counties have populations of over 1 million with another seven at or close to 900,000. Mandera, with its population of 867,000 is perhaps the best example here.

Kenya's busiest counties –Nairobi and Mombasa— are at the extreme corner of the NE quadrant with high healthcare capacities although also vulnerable due to their urbanized nature in addition to their population size, 4.4 million and 1.2 million, respectively. In fact, the 17 counties in this quadrant include some of the largest in the country, including Nakuru and Kiambu (just above 2 million people), Meru (1.5 million) and Machakos (1.4 million) all of which face similar trade-offs regarding capacity versus risk and population size.

Only seven counties are in the most preferred low risk/high capacity SE quadrant, including the outlier Lamu (143,000 people), which is on the very edge of the quadrant, most are of moderate size except for Kajiado with a population over 1 million.

Figure 4: Healthcare capacity compared to population risk indices



Source: Author's Computation

2.3 The current distribution of cases

On April 6, 2020, the GOK identified four counties as hotspots of the disease—specifically, Nairobi, Mombasa, Kilifi and Kwale. Although Kilifi and Kwale were subsequently found to be relatively small players in the development and spread of the virus, the hotspot designation was accompanied by policy actions that were then applied more broadly throughout the country. The four counties were placed on partial lockdown for at least 21 days (subsequently further extended by another 21 days). Also, after the issuance of the president’s directive, cessation of movement by road, rail or air in and out of the hotspot counties was expeditiously enforced – with the exemption of ferrying of foodstuffs and other essential products such as medical equipment and drugs. More broadly, on 26 April 2020, the previous nationwide dusk-to-dawn curfew was extended by another 21 days. These measures were aimed at slowing down the rate of infection so as not to overwhelm the health system (The Presidency, 2020).

As can be seen from Table 4 and Figure 1, by September 30, 2020, Kenya had recorded 38,529 cases of COVID-19 with Nairobi and Mombasa leading with 20,0650 and 2,902 cases respectively. In contrast, Kilifi and Kwale, the earlier hotspots, no longer ranked in the top tier of the total cases or cases per capita.

Table 4: Cases by counties by September 30, 2020

| County | Cases | Shares (Percent) | Cases per 100 population | Population (2019) |
|------------------|--------|------------------|--------------------------|-------------------|
| 1. Nairobi | 20,650 | 53.596 | 469.631 | 4,397,073 |
| 2. Mombasa | 2,902 | 7.532 | 240.166 | 1,208,333 |
| 3. Kiambu | 2,747 | 7.130 | 113.619 | 2,417,735 |
| 4. Kajiado | 1,973 | 5.121 | 176.501 | 1,117,840 |
| 5. Machakos | 1,323 | 3.434 | 93.042 | 1,421,932 |
| 6. Busia | 1,246 | 3.234 | 139.423 | 893,681 |
| 7. Nakuru | 1,131 | 2.935 | 52.308 | 2,162,202 |
| 8. Uasin Gishu | 605 | 1.570 | 52.012 | 1,163,186 |
| 9..Kisumu | 551 | 1.430 | 47.682 | 1,155,574 |
| 10. Migori | 464 | 1.204 | 41.561 | 1,116,436 |
| 11. Garissa | 390 | 1.012 | 46.354 | 841,353 |
| 12. Kericho | 357 | 0.927 | 39.589 | 901,777 |
| 13. Kisii | 326 | 0.846 | 25.733 | 1,266,860 |
| 14. Kitui | 303 | 0.786 | 26.668 | 1,136,187 |
| 15. Nyeri | 295 | 0.766 | 38.859 | 759,164 |
| 16. Laikipia | 268 | 0.696 | 51.682 | 518,560 |
| 17. Narok | 264 | 0.685 | 22.800 | 1,157,873 |
| 18. Kilifi | 228 | 0.592 | 15.683 | 1,453,787 |
| 19. Turkana | 216 | 0.561 | 23.302 | 926,976 |
| 20. Murang'a | 193 | 0.501 | 18.265 | 1,056,640 |
| 21. Trans Nzoia | 178 | 0.462 | 17.974 | 990,341 |
| 22. Taita Taveta | 174 | 0.452 | 51.076 | 340,671 |
| 23. Makueni | 167 | 0.433 | 16.909 | 987,653 |
| 24. Embu | 161 | 0.418 | 26.454 | 608,599 |
| 25. Bomet | 143 | 0.371 | 16.330 | 875,689 |
| 26. Meru | 139 | 0.361 | 8.993 | 1,545,714 |
| 27. Lamu | 118 | 0.306 | 81.990 | 143,920 |
| 28. Kirinyaga | 87 | 0.226 | 14.253 | 610,411 |
| 29. Kakamega | 84 | 0.218 | 4.498 | 1,867,579 |
| 30. Kwale | 82 | 0.213 | 9.460 | 866,820 |
| 31. Siaya | 81 | 0.210 | 8.156 | 993,183 |
| 32. Nandi | 74 | 0.192 | 8.355 | 885,711 |

| | | | | |
|---------------------|---------------|-----------------|----------------|-------------------|
| 33. Homa Bay | 68 | 0.176 | 6.007 | 1,131,950 |
| 34. Bungoma | 61 | 0.158 | 3.651 | 1,670,570 |
| 35. Isiolo | 61 | 0.158 | 22.761 | 268,002 |
| 36. Nyandarua | 59 | 0.153 | 9.243 | 638,289 |
| 37. Samburu | 56 | 0.145 | 18.045 | 310,327 |
| 38. Tharaka Nithi | 53 | 0.138 | 13.480 | 393,177 |
| 39. Baringo | 43 | 0.112 | 6.449 | 666,763 |
| 40. Wajir | 41 | 0.106 | 5.248 | 781,263 |
| 41. Vihiga | 36 | 0.093 | 6.102 | 590,013 |
| 42. Mandera | 29 | 0.075 | 3.343 | 867,457 |
| 43. Nyamira | 27 | 0.070 | 4.459 | 605,576 |
| 44. Tana River | 25 | 0.065 | 7.913 | 315,943 |
| 45. Marsabit | 24 | 0.062 | 5.220 | 459,785 |
| 46. West Pokot | 17 | 0.044 | 2.736 | 621,241 |
| 47. Elgeyo Marakwet | 9 | 0.023 | 1.980 | 454,480 |
| Total | 38,529 | 1 00.000 | 81 .004 | 47,564,296 |

Source: Ministry of Health and KNBS

3

3.0 Government Actions and Policy Recommendations

The last section established that there are large variations in county healthcare capacity and population risk to COVID-19. The analysis raises the following questions. How can these results be used to inform policy? Should preference be given to the counties with low capacity and high risks? Or should priority be given to high risk and high capacity counties, in light of population density, such as Mombasa and Nairobi? This chapter will list the interventions and recommendations that can augment the existing policies.

3.1. Policies already implemented by GOK

Below is a list of policies already in implementation by the GOK to address the COVID-19 pandemic:

Establishment of a task force-National Emergency Response Committee (NERC)

Through an executive order, the president of Kenya established the National Emergency Response Committee on 28 February 2020. The committee, comprised of government officials, international donors, scientists drawn from various disciplines, and civil society organizations, is tasked with the role of monitoring the risk posed by the fast-spreading virus. It also has the duty to advise the Ministry of Health on appropriate means of response (Ministry of Health, 2020).

Hotspots, lockdown, social distancing and contact tracing

On April 6, 2020, the GOK identified four counties as hotspots due to the early detection of the disease in these counties, (discussed above) —specifically, Nairobi, Mombasa, Kilifi and Kwale. The initial policy response was directed at these counties in order to isolate and control the spread of the disease. Steps such as lockdowns and social distancing are the most appropriate public health interventions for a disease without a vaccine or a cure such as COVID-19 (Arinaminpathy, 2020). Social distancing works in parallel with contact tracing, which is finding cases of exposures, and people who had contact with a positive case.

The government used community policing, although minimally through its *Nyumba Kumi* initiative⁴ where community health workers, public health officers, multi-agency teams were employed at road blocks in addition to utilizing technology in tracking contacts. Malls, churches and political rallies that flout the social distancing and curfew rules have been closed and offenders are prosecuted. The government issued a clarion call for staying at home, social distancing and observance of basic hygiene among other measures.

Initially, the Ministry of Health relied on self-reporting by sick individuals but this proved ineffective due to stigma attached to COVID-19 and people under-reporting infection (Nanyingih, 9 May 2020). The Ministry of Health involved community health volunteers (CHVs), -- 63,350 in total in Kenya-- to help in active contact tracing and community surveillance. Sample collection and handling of the viruses to the lab is a specialized skill which the Ministry of Health left to the team from Kenya Field Epidemiology and Laboratory Training Program (FELTP) and public health officers. FELTP is an elite team of 'disease trackers' trained by a Center of Disease Control -USA (CDC)run a programme established in 2004 (Mwangangi, 2020).

Health education

The Ministry of Interior and National Coordination and the Ministry of Health collaborated with mobile phone service operators including Safaricom, Telkom and Airtel to disseminate information on COVID-19 to all subscribers.

Expansion and enhancement of testing capabilities

The government has extended COVID-19 testing capabilities across the country. There are testing centres at the Moi Teaching and Referral Hospital (MTRH) in Eldoret and the National Influenza Centre at Kenyatta National Hospital. Testing is also conducted at KEMRI Centres in Kilifi, Busia, Nairobi and Kisumu. These centres have the capability to carry out Polymerase Chain Reaction (PCR) tests, the gold standard test for COVID-19 (Gorse, Donovan and Patel, 2020; The World Health Organisation, 2020). There are also private partners such as the Aga Khan Hospital and Lancet laboratories, implementing the PCR tests. Together, these, with the MTRH, cover a scope of 22 counties.

Isolation and quarantining

Emergency response centers have also been established. Mbagathi Hospital has been designated as the main isolation centre for patients who test positive for COVID-19 by NERC. Other isolation centres include Kenyatta University Teaching Research and Referral Hospital and Kenya Medical

⁴This is a community policy strategy established in 2013 anchored at the household level targeted at ensuring safe and sustainable neighborhoods.

Training Colleges across the country. Each county was also instructed to prepare a Level four and five hospitals to be utilized for purposes of COVID-19 cases should need arise.

Covid-19 Emergency Response Fund

On 30 March 2020, the president established the COVID -19 Emergency Response Fund. The team in charge of the fund is tasked with mobilizing financial resources for emergency responses for containing the spread, and impact of the pandemic. Apart from the contributions from the National Treasury of Kenya, the Emergency Response Fund has been receiving donations from corporate entities, development partners and multinational institutions, among other sources.

Capacity building for county governments

Counties rely on the NERC's counsel for assistance on how they can respond to the pandemic. The national government has also trained healthcare workers on case definition, triage, sample collection and transportation (Irimu, 2020). The collaboration extends to preparation of isolation and quarantine facilities (IGC, 2020).

3.2. Policy recommendations and global lessons

Countries have to consider their institutional setting, and the political consequences of their actions to balance choices between saving lives and protecting livelihoods, in determining where they land on that spectrum (IGC, 2020). Kenya can learn from other countries' experience to minimize economic and social harm when implementing lock-downs, testing and contact tracing. Below are some additional proposals on policy based on some of the analysis on Section 2.

Testing should prioritize high risk counties

The WHO recommends an "urgent enough escalation in testing, isolation and contact tracing" as the "backbone of the response" (WHO, 2020). The GoK reported that the country had the capacity to run 7,300 PCR tests per day. Yet testing rates have been very low as referenced in section 1.1. The daily average is 2,932 tests per day which is far below the capacity claimed by the national government. This is likely to underestimate the real rate of infection across the country. Several explanations are offered for this, including: challenges in accessing testing kits occasioned by shortages and the interruption of global supply chains (Kavanagh, et al., May 2020); inadequate contact tracing and logistical difficulties in getting the samples from the people to the lab (Mwau, 2020) and the prohibitive costs at private labs.

In the absence of randomized mass testing, and in the face of scarcity of testing resources, policy makers cannot accurately determine the rate of infection and populations at risk. Testing in high risk and high population centres, then needs to be prioritized. The counties in the Northwest quadrant of Figure 4 of this report have elevated risk and low capacity and these are the counties that are critical to monitor because the rise in infections will quickly overwhelm the weak systems. Even counties with

somewhat lower risk or higher capacity but close to the NW borders, especially if their populations are large, such as Kisii and Kakamega in the SW quadrant and Meru and Nakuru in the NE quadrant should receive more attention for testing.

Evidence from South Korea, Taiwan, and Germany indicate that early testing, isolation and contact tracing is the best mechanism for limiting the number of infections and the burden on the health system. Kenya, like other countries in Africa, benefits from an existing infrastructure that was put in place to address other viruses such as the hemorrhagic fevers (Ebola) and other avian flus such as the H5N1 in 2009 as set up by the WHO and the CDC. These systems are being adapted to make testing and contact tracing a priority (Waijenburg & Frankema, 2020). The bigger challenge for Kenya and other developing counties is sourcing the necessary materials critical for testing on the global market in a period of excess demand (The New Humanitarian, 2020).

Special measures for counties at the borders

Kenyan borders are generally porous with lethargic control of movements in Amudat, West Pokot (Uganda), Migori (Tanzania), Turkana (Sudan), Busia (Uganda) and Marsabit (Somalia). Special measures need to be taken to test people at the borders for COVID-19 and isolate infected people. Counties in the Southwest quadrant in Figure 4 (low population risk and low capacity) are also counties that are on Kenya's borders. Evidence is emerging that truck drivers have tested positive in Kenya from neighboring countries (Owino, 2020). Mandera and Wajir counties have had a disproportionately large number of infections in spite of their distance from the epicenters of Mombasa and Nairobi.

Better coordination between the national and local government

Provision of health services is a shared responsibility between the county and national governments, and a smooth working relationship between these two levels of government will ensure better outcomes for infection control. Challenges in primary health services still exist in the counties in Kenya. Most of the announcements on the COVID-19 containment policy have been made by the Ministry of Health with little participation of the Council of Governors (CoG). The CoG internally has established a cross-sector COVID-19 Secretariat to coordinate countries' response but there is little regular coordination with the national government. The asymmetrical power that exists between the county governments and the national government has added to the challenges of effective policy response.

Kenya can learn from the challenges and responses of other countries with federal structures. Their management of the crisis varies from the USA to Pakistan to Germany (Cooke, 2020); (Younus, 2020). In a devolved structure of healthcare management, in order to effectively achieve the benefits of devolution, better coordination between national and local government is important, despite devolution. This is particularly critical in the face of an infectious virus, where individual actions are the key to preventing spread. In these circumstances, the advantage of a devolved structure is that county governments are closer to the people, thereby closer to those being infected and better able

to monitor, deliver services, and communicate, in order to limit the spread of the disease. Effective communication between the national and local government would benefit both levels of governments: 1) for counties to share granular data on the spread of the disease, and 2) for the national government to effectively share the latest knowledge on the disease with the counties.

A further challenge is coordination on resources, particularly medical equipment such as ventilators, which are in scarce supply and which, with coordination, can be employed optimally in line with the spread of the disease. For counties to be able to respond to this health emergency, the national government also needs to support counties as much as they can, ensuring funds are readily available for counties to respond and to reduce some of the bottlenecks that govern financial transfers.

Better public health messaging

Currently, the Ministry of Health makes daily press briefings stating the number of confirmed infections, fatalities, tests and recovered patients. Based on these briefings, there is no statement on what this implies in terms of the trajectory of the disease, what the stage of infections in Kenya may be, or a way out of the lockdowns. Little explanation is offered for policy decisions and how the different regulations being implemented, in line with the general guidance provided by WHO, are being applied in Kenya. The directive “flattening the curve” is insufficiently informative to firms, workers and even public administrators; policy-makers should be targeting their decisions based on specific information and guidance regarding the cycle of the epidemic.

Also, clarity is needed from the MoH on guidelines on clinical ethics for healthcare workers who are being forced to ration medical supplies, such as ventilators⁵. This vacuum creates room for “moral injury” in the health workforce, where health workers may well lapse into depression and other forms of mental anguish after decisions they have made about patients (Atwoli, 2020). Both businesses and the general public need to be guided by sensible epidemiological advice and in recognition that specific milestones are being pursued. A coherent and published summary of the policy should include the sources and forms of evidence that the government is using to inform its responses and any amendments to the policy.

Such an approach would also identify the specific roles to be performed by county governments and the national government. Greater use of evidence to inform policy, as provided in this report, would require different policy approaches for counties in different risk and capacity categories. For example, counties at risk due to a greater proportion of the elderly in their populations will require more attention to their particular needs as well as special ways of communicating with them through targeted messaging. Similarly, on the capacity side, for counties with poorer means of communication through cellular technology, largely rural counties, the national government will need to find other

⁵Bayer, 2011 for guidelines on these decisions

means of messaging, perhaps using farmer cooperatives or other organizations. In all cases, public health announcements should be communicated to the public coherently and clearly to build trust. Evidence from Ebola and earlier health outbreaks suggests that trust in government is key for compliance with public health messaging (Blaira, Morseb, & Tsaib, 2017).



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Annex: Methodology

This Annex discusses the methodology developed for this report. In general, it is based on the development of indices designed to indicate the circumstances in each of Kenya's 47 counties with respect to the capacity to deliver healthcare services to treat COVID-19 and the populations at risk in each county. The methodology for developing such indices is well established as represented by the Global Health Security Index (GHSI) prepared by Johns, Hopkins, NTI, and the Economist, 2019. As noted in the text, the specific measures developed here differ from the GHSI in two respects. First, they are at the county level for Kenya rather than at the national level and are constrained by data availability. Second, as noted, the approach pursued here is to develop two separate indices to guide policymakers. The health capacity index, has 14 indicators as shown below:

Table A-1 : List of healthcare system capacity indicators and corresponding sources of data

| NO# | Description | Source of data |
|--------------|--|--|
| Indicator 1 | Per Capita Health Expenditure (Recurrent) | County Budget Implementation and Review Reports (<i>a publication by the Office of the Controller of Budget</i>) |
| Indicator 2 | Doctors per 100,000 population | Health human resource for Health, 2017 (<i>a publication by KIPPRA</i>) |
| Indicator 3 | Nurses per 100,000 population | Health human resource for Health, 2017 (<i>a publication by KIPPRA</i>) |
| Indicator 4 | Clinical Officers per 100,000 population | Health human resource for Health, 2017 (<i>a publication by KIPPRA</i>) |
| Indicator 5 | Public Health Workers per 100,000 population | Health human resource for Health, 2017 (<i>a publication by KIPPRA</i>) |
| Indicator 6 | Medical Workers per 100,000 population | Health human resource for Health, 2017 (<i>a publication by KIPPRA</i>) |
| Indicator 7 | Primary Health Facilities per 100,000 population | Health human resource for Health, 2017 (<i>a publication by KIPPRA</i>) |
| Indicator 8 | County and Sub County Health Facilities per 100,000 population | Health human resource for Health, 2017 (<i>a publication by KIPPRA</i>) |
| Indicator 9 | Total Beds and Cots Facilities per 100,000 population | Health human resource for Health, 2017 (<i>a publication by KIPPRA</i>) |
| Indicator 10 | Number of Medical Labs per 100,000 population | Statistical Abstract, 2019 (<i>a publication by Kenya National Bureau of Statistics</i>) |

| | | |
|--------------|---|---|
| Indicator 11 | Facilities for Improved Water and Sanitation (per cent of population with Water and Sanitation) | Health human resource for Health, 2017 (a publication by KIPPRA) |
| Indicator 12 | Facilities for Hand Washing near Toilets (per cent of population with access) | Kenya Integrated Household Budget Survey (a publication by KNBS) |
| Indicator 13 | Facilities for Communication (per cent of population with mobile phones) | Kenya Population and Housing Census: Volume IV (a publication by KNBS) |
| Indicator 14 | Total Health Facilities per 100 Km ² | Health human resource for Health, 2017 and Statistical Abstract, 2019 (publications by KIPPRA and KNBS) |

Source: Author's Computation

The population risk index has seven indicators as shown below;

Table A-2: List of population risk indicators and corresponding sources of data

| NO# | Description | Source of data |
|-------------|---|---|
| Indicator 1 | per cent Population (60+ years) | Kenya Population and Housing Census, 2019 |
| Indicator 2 | per cent Urban population | Kenya Population and Housing Census, 2019 |
| Indicator 3 | Mortality Rate (Deaths per 100,000 population) | Statistical Abstract, 2019 |
| Indicator 4 | Morbidity Rate (Cases per 100,000 population) | Statistical Abstract, 2019 |
| Indicator 5 | HIV prevalence (per cent) | National AIDS Control Council (NACC) |
| Indicator 6 | Distance to the nearest city (Nairobi or Mombasa) | Google map |
| Indicator 7 | Visitors to Game Parks and Museums | Statistical Abstract, 2019 |

Source: Author's Computation

The indicators used for each index are combined to form the index itself in a three-step process. **Step 1** is the calculation of the values of the indicator for each county. This step required relevant data at the county level which reflects county healthcare capabilities and the risk to their populations, all on a per capita basis.

Step 2 is converting these values into a score for each indicator for each county using the formula below;

$$Score = \frac{Value - Min}{Max - Min} * 100$$

The denominator defines the range of values across all counties for the indicator while the numerator the specific value for county X above the minimum value, that is the position of that county in the range, and the multiplication by 100 converts the relative position of the county into a score from 0 to 100. For example, if all the values for an indicator cover an 80-point range from 10 to 90, and county X has a value of 70, it's score would be 75 (60 divided by 80) on a scale of 0 to 100.

Thus, this formula converts the raw value of each indicator into a score from 0 to 100 where the highest value receives a score of 100 and the lowest value a score of zero. In the case of the healthcare capacity index where the highest value of an indicator such as the number of doctors, nurses or health facilities per capita, is regarded as favorable, a score of 100 indicates that a county rank at the very top among the 47 counties. In contrast, a score of 0 for an indicator does not mean that the county totally lacks any capacity with respect to that indicator, but that it had the lowest value among the 47 counties.

For the population risk index, the approach is the same, but the ranking has a different meaning. For this index, a high value for a component indicator, such as HIV prevalence, is unfavorable or a high risk. Applying the formula above, the county with the highest HIV rate per capita among the counties would receive a score of 100 and the lowest would receive a score of 0, that is, the lowest risk to the population from that specific indicator.

Step 3 is weighting all indicators equally to form the final index, one for capacity and one for risk. In this fashion, counties can be compared on a relative basis both as to how they rank under each index and how each separate indicator within the index affects the results.

Further details of this methodology are displayed below. Tables A-3 a and b shows the scores for each of the 47 counties for the 14 indicators comprising the healthcare capacity index. Table A-3-a shows the results of collecting the raw data on healthcare capacity for each indicator by county. Table A-3-b has the summary score of the index Table A-4 a and b shows the scores for the seven individual indicators for population risk. Table A-4-a shows the results of collecting the raw data on population risk for each indicator by county while Table A-4-b has the summary score for the index.

TableA-3-a: Raw results for the health care system capacity indicators

| Indicator | Indicator 1 | Indicator 2 | Indicator 3 | Indicator 4 | Indicator 5 | Indicator 6 | Indicator 7 | Indicator 8 | Indicator 9 | Indicator 10 | Indicator 11 | Indicator 12 | Indicator 13 | Indicator 14 |
|-------------------|--|--|---|--|--|--|--|--|---|---|--|---|--|---|
| County | Per Capita Health Recurrent Expenditure (Ksh) 2016/17 to 2018/19 | Doctors per 100,000 population (Year = 2017) | Nurses per 100,000 population (Year = 2017) | Clinical Officers per 100,000 population (Year = 2017) | Public Health Workers per 100,000 population (Year = 2017) | Medical Workers per 100,000 population (Year = 2017) | Primary Health Facilities per 100,000 population (Year = 2017) | County & Sub County Health Facilities per 100,000 population (Year = 2017) | Total Beds and Cots Facilities per 100,000 population (Year = 2017) | Number of Medical Labs per 100,000 population (Year = 2018) | % of population with access to Improved Water and Sanitation (Year = 2017) | % Households by Availability of Place for Washing Hands near the Toilet Facility (Year = 2015/16) | % Population Owning a Mobile Phone (Year = 2019) | Total Health Facilities per 100 Km ² (Year = 2017) |
| 1 Embu | 9,677.52 | 11.19 | 109.31 | 12.20 | 16.95 | 190.00 | 32.88 | 1.36 | 251.66 | 8.81 | 98.40 | 15.40 | 57.00 | 7.16 |
| 2 Nyeri | 8,837.34 | 13.00 | 109.52 | 12.06 | 18.77 | 121.00 | 48.93 | 1.34 | 244.24 | 8.18 | 55.20 | 20.10 | 64.10 | 11.28 |
| 3 Nairobi | 3,540.27 | 9.96 | 24.44 | 4.68 | 5.43 | 252.00 | 20.85 | 1.06 | 206.32 | 18.39 | 91.90 | 43.60 | 69.10 | 128.98 |
| 4 Mombasa | 6,695.57 | 8.23 | 53.71 | 8.40 | 11.78 | 245.00 | 31.01 | 1.47 | 155.06 | 11.78 | 86.30 | 18.60 | 61.80 | 170.91 |
| 5 Lamu | 13,156.00 | 7.38 | 79.74 | 20.67 | 28.06 | 146.00 | 42.83 | 2.22 | 129.22 | 5.17 | 70.00 | 21.80 | 45.20 | 0.97 |
| 6 Tharaka Nithi | 9,166.67 | 12.13 | 84.89 | 10.32 | 12.90 | 139.00 | 45.15 | 2.06 | 219.83 | 5.42 | 96.30 | 13.80 | 51.30 | 7.14 |
| 7 Isiolo | 9,754.65 | 10.70 | 80.23 | 12.34 | 12.34 | 137.00 | 22.22 | 1.23 | 253.04 | 6.17 | 70.70 | 47.90 | 38.20 | 0.22 |
| 8 Kirinyaga | 7,930.16 | 5.22 | 71.06 | 8.42 | 19.36 | 88.00 | 48.49 | 1.35 | 181.01 | 8.92 | 85.00 | 20.60 | 61.30 | 20.03 |
| 9 Elgeyo Marakwet | 9,094.50 | 5.94 | 78.62 | 14.17 | 43.19 | 74.00 | 24.00 | 1.83 | 184.20 | 1.83 | 72.90 | 9.90 | 51.40 | 3.73 |
| 10 Kiambu | 4,689.09 | 8.68 | 53.35 | 7.31 | 12.22 | 102.00 | 21.74 | 1.37 | 158.01 | 8.19 | 90.30 | 41.10 | 66.00 | 20.56 |
| 11 Taita Taveta | 2,260.75 | 9.41 | 75.28 | 15.78 | 17.91 | 98.00 | 22.77 | 0.61 | 103.81 | 7.89 | 98.80 | 37.60 | 54.90 | 0.45 |
| 12 Samburu | 7,303.53 | 4.44 | 54.60 | 7.85 | 13.65 | 97.00 | 67.23 | 4.44 | 370.60 | 1.36 | 31.50 | 6.80 | 27.20 | 1.00 |
| 13 Kisumu | 5,930.19 | 9.66 | 66.99 | 8.50 | 10.28 | 81.00 | 18.07 | 2.06 | 243.26 | 1.70 | 96.30 | 18.90 | 49.40 | 10.79 |
| 14 Kericho | 6,135.48 | 9.17 | 53.48 | 8.81 | 15.37 | 54.00 | 24.42 | 1.79 | 229.30 | 1.79 | 79.00 | 15.80 | 43.40 | 9.03 |
| 15 Nakuru | 5,387.66 | 6.58 | 59.75 | 8.97 | 10.97 | 107.00 | 22.44 | 1.27 | 161.05 | 8.93 | 62.10 | 18.60 | 52.70 | 6.48 |
| 16 Baringo | 8,891.30 | 5.90 | 65.94 | 14.74 | 16.29 | 80.00 | 35.69 | 0.62 | 119.78 | 4.34 | 71.30 | 7.20 | 35.00 | 2.13 |
| 17 Laikipia | 1,380.10 | 6.67 | 55.80 | 6.06 | 20.01 | 127.00 | 25.67 | 1.62 | 162.14 | 7.48 | 42.30 | 23.20 | 51.50 | 1.42 |
| 18 Machakos | 1,057.16 | 6.48 | 48.56 | 7.15 | 8.33 | 102.00 | 29.25 | 0.88 | 170.07 | 7.74 | 83.50 | 16.20 | 56.40 | 6.77 |
| 19 Kajjado | 4,772.67 | 2.33 | 32.67 | 5.62 | 9.79 | 39.00 | 28.98 | 1.26 | 146.46 | 10.37 | 84.30 | 30.80 | 54.60 | 1.43 |
| 20 Nyandarua | 2,553.35 | 8.89 | 70.81 | 8.10 | 16.04 | 83.00 | 27.15 | 0.64 | 110.03 | 1.59 | 81.10 | 12.10 | 55.00 | 5.33 |
| 21 Makueni | 6,460.36 | 5.27 | 45.09 | 7.55 | 15.72 | 62.00 | 34.75 | 0.93 | 161.12 | 3.31 | 88.00 | 4.60 | 49.00 | 4.22 |
| 22 Meru | 5,076.64 | 4.58 | 50.01 | 6.17 | 10.41 | 90.00 | 19.43 | 0.93 | 153.35 | 6.63 | 48.70 | 35.00 | 50.30 | 4.38 |
| 23 Tana River | 4,413.44 | 1.66 | 42.89 | 8.31 | 19.62 | 50.00 | 48.54 | 1.99 | 279.96 | 0.33 | 60.30 | 17.70 | 31.60 | 0.40 |
| 24 Murang'a | 5,049.29 | 6.97 | 51.17 | 5.80 | 19.54 | 54.00 | 27.86 | 1.06 | 88.13 | 3.29 | 65.60 | 8.80 | 57.40 | 11.85 |
| 25 Kisii | 6,005.09 | 5.71 | 61.58 | 9.57 | 11.26 | 52.00 | 14.79 | 1.85 | 198.57 | 3.62 | 41.30 | 2.70 | 43.80 | 15.65 |
| 26 Kitui | 5,585.61 | 4.05 | 49.76 | 5.76 | 10.80 | 76.00 | 39.68 | 1.26 | 133.26 | 2.25 | 56.80 | 11.10 | 42.90 | 1.46 |
| 27 Uasin Gishu | 4,447.13 | 5.05 | 30.38 | 6.22 | 13.52 | 77.00 | 8.92 | 0.63 | 77.62 | 9.20 | 83.40 | 14.30 | 51.40 | 3.12 |
| 28 Vihiga | 4,997.39 | 5.29 | 42.36 | 8.71 | 15.20 | 57.00 | 17.76 | 1.71 | 69.69 | 2.05 | 43.20 | 15.70 | 43.10 | 20.21 |
| 29 Marsabit | 7,409.87 | 6.34 | 46.71 | 7.75 | 9.39 | 124.00 | 23.47 | 1.17 | 145.29 | 0.94 | 26.00 | 14.60 | 29.00 | 0.15 |
| 30 Garissa | 7,054.04 | 7.65 | 32.22 | 9.28 | 10.03 | 86.00 | 18.80 | 1.88 | 92.64 | 3.64 | 45.10 | 6.30 | 30.30 | 0.37 |
| 31 Busia | 4,572.71 | 3.94 | 46.20 | 7.87 | 6.72 | 49.00 | 14.24 | 0.81 | 145.89 | 2.55 | 61.60 | 23.50 | 38.40 | 7.65 |
| 32 Trans Nzoia | 4,581.34 | 4.60 | 41.74 | 6.49 | 12.03 | 139.00 | 24.16 | 0.94 | 70.82 | 5.02 | 36.10 | 7.20 | 40.40 | 9.62 |
| 33 Nyamira | 6,825.39 | 2.65 | 47.84 | 5.46 | 12.58 | 51.00 | 22.18 | 1.32 | 165.54 | 0.99 | 40.40 | 5.00 | 45.40 | 15.83 |
| 34 Homa Bay | 4,448.81 | 3.55 | 48.90 | 6.37 | 9.11 | 51.00 | 24.13 | 1.37 | 166.08 | 1.46 | 25.10 | 17.50 | 41.10 | 8.88 |
| 35 Nandi | 4,949.58 | 1.63 | 42.02 | 6.87 | 15.13 | 49.00 | 24.21 | 0.58 | 86.14 | 2.79 | 71.00 | 10.60 | 42.50 | 7.48 |
| 36 Kilifi | 4,200.16 | 4.62 | 31.70 | 5.99 | 7.87 | 45.00 | 19.64 | 0.72 | 72.36 | 5.34 | 70.00 | 17.20 | 39.40 | 2.25 |
| 37 Siaya | 4,532.69 | 1.56 | 39.67 | 4.98 | 4.15 | 52.00 | 9.76 | 1.04 | 48.40 | 10.18 | 43.70 | 22.80 | 44.10 | 4.11 |
| 38 Migori | 3,669.77 | 2.60 | 34.37 | 6.69 | 10.22 | 45.00 | 21.09 | 1.21 | 199.07 | 3.44 | 37.90 | 5.20 | 37.50 | 9.18 |
| 39 Bungoma | 3,898.90 | 4.16 | 41.77 | 6.21 | 6.83 | 49.00 | 13.72 | 0.74 | 112.89 | 3.17 | 39.30 | 21.20 | 36.80 | 7.71 |
| 40 Bomet | 3,631.21 | 1.93 | 48.36 | 10.81 | 11.95 | 35.00 | 15.93 | 0.68 | 109.92 | 3.30 | 30.60 | 8.40 | 40.90 | 5.82 |
| 41 Kakamega | 666.75 | 3.87 | 47.33 | 7.63 | 6.00 | 64.00 | 15.98 | 0.76 | 126.08 | 2.89 | 32.40 | 8.10 | 40.90 | 10.18 |
| 42 Kwale | 5,807.27 | 1.70 | 31.46 | 7.41 | 8.02 | 48.00 | 17.37 | 0.49 | 59.75 | 2.55 | 33.70 | 12.80 | 36.70 | 1.78 |
| 43 Narok | 3,085.71 | 3.28 | 27.27 | 4.56 | 9.12 | 42.00 | 15.60 | 0.73 | 114.29 | 3.65 | 42.10 | 7.50 | 34.30 | 1.00 |
| 44 West Pokot | 5,627.47 | 1.83 | 40.37 | 8.34 | 10.01 | 75.00 | 16.51 | 0.50 | 106.59 | 1.50 | 27.30 | 3.40 | 21.10 | 1.12 |
| 45 Turkana | 2,523.76 | 1.64 | 16.87 | 3.94 | 8.77 | 24.00 | 19.61 | 1.42 | 183.09 | 0.77 | 31.90 | 18.70 | 16.60 | 0.28 |
| 46 Mandera | 4,776.39 | 0.44 | 10.01 | 3.23 | 4.23 | 31.00 | 13.90 | 0.89 | 95.65 | 0.89 | 40.90 | 14.30 | 25.30 | 0.51 |
| 47 Wajir | 5,131.94 | 1.85 | 7.13 | 0.79 | 7.66 | 60.00 | 17.16 | 0.66 | 66.81 | 0.79 | 6.20 | 3.10 | 27.90 | 0.24 |
| Max | 13,156.00 | 13.00 | 109.52 | 20.67 | 43.19 | 252.00 | 67.23 | 4.44 | 370.60 | 18.39 | 98.80 | 47.90 | 69.10 | 170.91 |
| Q3 | 6,760.48 | 7.52 | 60.66 | 8.89 | 15.54 | 102.00 | 29.12 | 1.45 | 183.65 | 7.61 | 82.25 | 20.35 | 52.10 | 9.40 |
| Mean | 5,481.76 | 5.54 | 50.72 | 8.11 | 12.88 | 85.09 | 25.51 | 1.25 | 151.66 | 4.74 | 59.06 | 16.54 | 44.33 | 12.15 |
| Median | 5,049.29 | 5.22 | 48.36 | 7.63 | 11.78 | 75.00 | 22.44 | 1.21 | 146.46 | 3.44 | 60.30 | 15.40 | 43.40 | 5.33 |
| Q1 | 4,200.16 | 2.65 | 39.67 | 6.06 | 9.11 | 50.00 | 17.37 | 0.74 | 103.81 | 1.79 | 39.30 | 8.10 | 36.80 | 1.12 |
| Min | 666.75 | 0.44 | 7.13 | 0.79 | 4.15 | 24.00 | 8.92 | 0.49 | 48.40 | 0.33 | 6.20 | 2.70 | 16.60 | 0.15 |
| STD | 2,445.79 | 3.12 | 21.37 | 3.42 | 6.52 | 49.41 | 11.72 | 0.66 | 65.77 | 3.67 | 24.09 | 10.63 | 11.82 | 29.89 |
| CV | 0.45 | 0.56 | 0.42 | 0.42 | 0.51 | 0.58 | 0.46 | 0.53 | 0.43 | 0.77 | 0.41 | 0.64 | 0.27 | 2.46 |

Source: Author's Computation

Table A-3-b: Summary results for the healthcare capacity index

| Indicator | Indicator 1 | Indicator 2 | Indicator 3 | Indicator 4 | Indicator 5 | Indicator 2e | Indicator 7 | Indicator 8 | Indicator 9 | Indicator 10 | Indicator 11 | Indicator 8 | Indicator 9 | Indicator 10 | Overall Score (Capacity) |
|-------------------|--|--|---|--|--|--|--|--|---|---|--|---|--|---|--------------------------|
| County | Per Capita Health Recurrent Expenditure (Ksh) 2016/17 to 2018/19 | Doctors per 100,000 population (Year = 2017) | Nurses per 100,000 population (Year = 2017) | Clinical Officers per 100,000 population (Year = 2017) | Public Health Workers per 100,000 population (Year = 2017) | Medical Workers per 100,000 population (Year = 2017) | Primary Health Facilities per 100,000 population (Year = 2017) | County & Sub County Health Facilities per 100,000 population (Year = 2017) | Total Beds and Cots Facilities per 100,000 population (Year = 2017) | Number of Medical Labs per 100,000 population (Year = 2018) | % of population with access to Improved Water and Sanitation (Year = 2017) | % Households by Availability of Place for Washing Hands near the Toilet Facility (Year = 2015/16) | % Population Owning a Mobile Phone (Year = 2019) | Total Health Facilities per 100 Km ² (Year = 2017) | |
| 1 Embu | 72.15 | 85.53 | 99.80 | 57.39 | 32.77 | 72.81 | 41.08 | 22.02 | 63.09 | 46.97 | 99.57 | 28.10 | 76.95 | 4.11 | 57.31 |
| 2 Nyeri | 65.42 | 100.00 | 100.00 | 56.69 | 37.43 | 42.54 | 68.61 | 21.63 | 60.78 | 43.45 | 52.92 | 38.50 | 90.48 | 6.52 | 56.07 |
| 3 Nairobi | 23.01 | 75.81 | 16.91 | 19.56 | 3.27 | 100.00 | 20.45 | 14.58 | 49.01 | 100.00 | 92.55 | 90.49 | 100.00 | 75.44 | 55.79 |
| 4 Mombasa | 48.27 | 61.99 | 45.49 | 38.28 | 19.54 | 96.93 | 37.89 | 24.98 | 33.11 | 63.42 | 86.50 | 35.18 | 86.10 | 100.00 | 55.55 |
| 5 Lamu | 100.00 | 55.26 | 70.92 | 100.00 | 61.23 | 53.51 | 58.15 | 43.78 | 25.08 | 26.79 | 68.90 | 42.26 | 54.48 | 0.48 | 54.34 |
| 6 Tharaka Nithi | 68.06 | 93.03 | 75.95 | 47.92 | 22.40 | 50.44 | 62.14 | 39.95 | 53.21 | 28.17 | 97.30 | 24.56 | 66.10 | 4.09 | 52.38 |
| 7 Isiolo | 72.77 | 81.64 | 71.40 | 58.10 | 20.98 | 49.56 | 22.80 | 18.95 | 63.51 | 32.34 | 69.65 | 100.00 | 41.14 | 0.05 | 50.21 |
| 8 Kirinyaga | 58.16 | 38.02 | 62.43 | 38.36 | 38.96 | 28.07 | 67.87 | 21.80 | 41.16 | 47.59 | 85.10 | 39.60 | 85.14 | 11.64 | 47.42 |
| 9 Elgeyo Marakwet | 67.48 | 43.77 | 69.82 | 67.28 | 100.00 | 21.93 | 25.85 | 33.98 | 42.15 | 8.29 | 72.03 | 15.93 | 66.29 | 2.10 | 45.49 |
| 10 Kiambu | 32.21 | 65.56 | 45.14 | 32.76 | 20.66 | 34.21 | 21.98 | 22.45 | 34.02 | 43.53 | 90.82 | 84.96 | 94.10 | 11.95 | 45.31 |
| 11 Taita Taveta | 12.76 | 71.39 | 66.56 | 75.40 | 35.23 | 32.46 | 23.74 | 3.07 | 17.20 | 41.87 | 100.00 | 77.21 | 72.95 | 0.18 | 45.00 |
| 12 Samburu | 53.14 | 31.78 | 46.36 | 35.49 | 24.32 | 32.02 | 100.00 | 100.00 | 100.00 | 5.72 | 27.32 | 9.07 | 20.19 | 0.50 | 41.85 |
| 13 Kisumu | 42.14 | 73.37 | 58.46 | 38.75 | 15.70 | 25.00 | 15.68 | 39.77 | 60.48 | 7.57 | 97.30 | 35.84 | 62.48 | 6.23 | 41.34 |
| 14 Kericho | 43.79 | 69.50 | 45.27 | 40.35 | 28.72 | 13.16 | 26.58 | 32.93 | 56.15 | 8.06 | 78.62 | 28.98 | 51.05 | 5.20 | 37.74 |
| 15 Nakuru | 37.80 | 48.89 | 51.39 | 41.15 | 17.47 | 36.40 | 23.17 | 19.80 | 34.96 | 47.60 | 60.37 | 35.18 | 68.76 | 3.71 | 37.62 |
| 16 Baringo | 65.85 | 43.41 | 57.44 | 70.15 | 31.09 | 24.56 | 45.90 | 3.41 | 22.16 | 22.22 | 70.30 | 9.96 | 35.05 | 1.16 | 35.90 |
| 17 Laikipia | 5.71 | 49.58 | 47.53 | 26.52 | 40.63 | 45.18 | 28.73 | 28.64 | 35.30 | 39.59 | 38.98 | 45.35 | 66.48 | 0.74 | 35.64 |
| 18 Machakos | 3.13 | 48.09 | 40.46 | 31.96 | 10.69 | 34.21 | 34.87 | 10.09 | 37.76 | 41.01 | 83.48 | 29.87 | 75.81 | 3.88 | 34.67 |
| 19 Kajiado | 32.88 | 14.98 | 24.94 | 24.29 | 14.44 | 6.58 | 34.40 | 19.60 | 30.44 | 55.61 | 84.34 | 62.17 | 72.38 | 0.75 | 34.13 |
| 20 Nyandarua | 15.11 | 67.26 | 62.20 | 36.74 | 30.43 | 25.88 | 31.26 | 3.78 | 19.13 | 6.95 | 80.89 | 20.80 | 73.14 | 3.03 | 34.04 |
| 21 Makueni | 46.39 | 38.46 | 37.07 | 33.99 | 29.62 | 16.67 | 44.29 | 11.26 | 34.99 | 16.49 | 88.34 | 4.20 | 61.71 | 2.38 | 33.28 |
| 22 Meru | 35.31 | 32.90 | 41.88 | 27.04 | 16.03 | 28.95 | 18.03 | 11.21 | 32.57 | 34.90 | 45.90 | 71.46 | 64.19 | 2.48 | 33.06 |
| 23 Tana River | 30.00 | 9.70 | 34.93 | 37.82 | 39.61 | 11.40 | 67.96 | 38.20 | 71.87 | 0.00 | 58.42 | 33.19 | 28.57 | 0.15 | 32.99 |
| 24 Murang'a | 35.09 | 51.92 | 43.02 | 25.21 | 39.41 | 13.16 | 32.48 | 14.64 | 12.33 | 16.38 | 64.15 | 13.50 | 77.71 | 6.85 | 31.85 |
| 25 Kisii | 42.74 | 41.91 | 53.18 | 44.13 | 18.19 | 12.28 | 10.06 | 34.51 | 46.61 | 18.20 | 37.90 | 0.00 | 51.81 | 9.08 | 30.04 |
| 26 Kitui | 39.38 | 28.70 | 41.63 | 24.98 | 17.02 | 22.81 | 52.75 | 19.59 | 26.34 | 10.62 | 54.64 | 18.58 | 50.10 | 0.77 | 29.14 |
| 27 Uasin Gishu | 30.27 | 36.66 | 22.71 | 27.30 | 24.00 | 23.25 | 0.00 | 3.68 | 9.07 | 49.09 | 83.37 | 25.66 | 66.29 | 1.74 | 28.79 |
| 28 Vihiga | 34.67 | 38.62 | 34.41 | 39.83 | 28.30 | 14.47 | 15.16 | 30.94 | 6.61 | 9.51 | 39.96 | 28.76 | 50.48 | 11.75 | 27.39 |
| 29 Marsabit | 53.99 | 46.92 | 38.66 | 34.97 | 13.41 | 43.86 | 24.95 | 17.41 | 30.07 | 3.36 | 21.38 | 26.33 | 23.62 | 0.00 | 27.07 |
| 30 Garissa | 51.14 | 57.35 | 24.50 | 42.67 | 15.05 | 27.19 | 16.95 | 35.30 | 13.73 | 18.30 | 42.01 | 7.96 | 26.10 | 0.13 | 27.03 |
| 31 Busia | 31.27 | 27.81 | 38.16 | 35.62 | 6.56 | 10.96 | 9.12 | 8.22 | 30.26 | 12.27 | 59.83 | 46.02 | 41.52 | 4.39 | 25.86 |
| 32 Trans Nzoia | 31.34 | 33.11 | 33.80 | 28.64 | 20.17 | 50.44 | 26.14 | 11.53 | 6.96 | 25.97 | 32.29 | 9.96 | 45.33 | 5.55 | 25.80 |
| 33 Nyamira | 49.31 | 17.55 | 39.76 | 23.49 | 21.59 | 11.84 | 22.74 | 21.23 | 36.36 | 3.66 | 36.93 | 5.09 | 54.86 | 9.18 | 25.26 |
| 34 Homa Bay | 30.28 | 24.74 | 40.79 | 28.07 | 12.68 | 11.84 | 26.08 | 22.28 | 36.53 | 6.23 | 20.41 | 32.74 | 46.67 | 5.11 | 24.60 |
| 35 Nandi | 34.29 | 9.43 | 34.08 | 30.56 | 28.12 | 10.96 | 26.22 | 2.44 | 11.71 | 13.63 | 69.98 | 17.48 | 49.33 | 4.29 | 24.47 |
| 36 Kilifi | 28.29 | 33.26 | 24.00 | 26.16 | 9.52 | 9.21 | 18.38 | 5.98 | 7.44 | 27.76 | 68.90 | 32.08 | 43.43 | 1.23 | 23.97 |
| 37 Siaya | 30.95 | 8.86 | 31.78 | 21.09 | 0.00 | 12.28 | 1.44 | 13.99 | 0.00 | 54.53 | 40.50 | 44.47 | 52.38 | 2.32 | 22.47 |
| 38 Migori | 24.04 | 17.17 | 26.61 | 29.66 | 15.53 | 9.21 | 20.86 | 18.27 | 46.77 | 17.20 | 34.23 | 5.53 | 39.81 | 5.29 | 22.16 |
| 39 Bungoma | 25.88 | 29.57 | 33.83 | 27.23 | 6.85 | 10.96 | 8.22 | 6.55 | 20.02 | 15.69 | 35.75 | 40.93 | 38.48 | 4.43 | 21.74 |
| 40 Bomet | 23.74 | 11.86 | 40.27 | 50.38 | 19.96 | 4.82 | 12.02 | 4.98 | 19.09 | 16.44 | 26.35 | 12.61 | 46.29 | 3.32 | 20.87 |
| 41 Kakamega | 0.00 | 27.29 | 39.27 | 34.41 | 4.72 | 17.54 | 12.10 | 7.03 | 24.11 | 14.17 | 28.29 | 11.95 | 46.29 | 5.87 | 19.50 |
| 42 Kwale | 41.16 | 10.00 | 23.76 | 33.28 | 9.89 | 10.53 | 14.48 | 0.00 | 3.53 | 12.29 | 29.70 | 22.35 | 38.29 | 0.96 | 17.87 |
| 43 Narok | 19.37 | 22.61 | 19.67 | 18.95 | 12.72 | 7.89 | 11.44 | 6.17 | 20.45 | 18.37 | 38.77 | 10.62 | 33.71 | 0.50 | 17.23 |
| 44 West Pokot | 39.72 | 11.07 | 32.46 | 37.96 | 15.00 | 22.37 | 13.02 | 0.37 | 18.06 | 6.47 | 22.79 | 1.55 | 8.57 | 0.57 | 16.43 |
| 45 Turkana | 14.87 | 9.55 | 9.52 | 15.85 | 11.81 | 0.00 | 18.33 | 23.76 | 41.80 | 2.41 | 27.75 | 35.40 | 0.00 | 0.08 | 15.08 |
| 46 Mandera | 32.91 | 0.00 | 2.81 | 12.24 | 0.19 | 3.07 | 8.54 | 10.23 | 14.67 | 3.09 | 37.47 | 25.66 | 16.57 | 0.21 | 11.97 |
| 47 Wajir | 35.75 | 11.18 | 0.00 | 0.00 | 8.97 | 15.79 | 14.13 | 4.41 | 5.71 | 2.55 | 0.00 | 0.88 | 21.52 | 0.05 | 8.64 |
| Max | 100.00 | 100.00 | 100.00 | 100.00 | 100.00 | 100.00 | 100.00 | 100.00 | 100.00 | 100.00 | 100.00 | 100.00 | 100.00 | 100.00 | 57.31 |
| Q3 | 48.79 | 56.30 | 52.29 | 40.75 | 29.17 | 34.21 | 34.64 | 24.37 | 41.98 | 40.30 | 82.13 | 39.05 | 67.62 | 5.42 | 41.60 |
| Mean | 38.55 | 40.58 | 42.58 | 36.78 | 22.36 | 26.79 | 28.45 | 19.35 | 32.05 | 24.39 | 57.08 | 30.62 | 52.82 | 7.03 | 32.82 |
| Median | 35.09 | 38.02 | 40.27 | 34.41 | 19.54 | 22.37 | 23.17 | 18.27 | 30.44 | 17.20 | 58.42 | 28.10 | 51.05 | 3.03 | 31.85 |
| Q1 | 28.29 | 17.55 | 31.78 | 26.52 | 12.68 | 11.40 | 14.48 | 6.55 | 17.20 | 8.06 | 35.75 | 11.95 | 38.48 | 0.57 | 23.97 |
| Min | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 8.64 |
| STD | 19.58 | 24.84 | 20.87 | 17.21 | 16.70 | 21.67 | 20.10 | 16.75 | 20.41 | 20.33 | 26.02 | 23.51 | 22.51 | 17.50 | 12.61 |
| CV | 0.51 | 0.61 | 0.49 | 0.47 | 0.75 | 0.81 | 0.71 | 0.87 | 0.64 | 0.83 | 0.46 | 0.77 | 0.43 | 2.49 | 0.38 |

Source: Author's Computation

Table A-4-a: Raw results for the population risk indicators

| Indicator | Indicator 1 | Indicator 2 | Indicator 3 | Indicator 4 | Indicator 5 | Indicator 6 | Indicator 7 |
|--------------------|-------------------------------|-------------------------------------|--|--|---|---|--|
| County | % Population (60+ years) 2019 | % Urban population (Year = 2015/16) | Mortality Rate Deaths per 100,000 population (Year = 2018) | Morbidity Rate First Attendance Cases per 100,000 population (Year = 2018) | HIV prevalence adults (15 to 49 yrs) Overall Prevalence (Year = 2017) | Average Distance to Airport (Year = 2020) | Visitors to Game Parks and Museums (Year = 2018) |
| 1 Mombasa | 2.82 | 100.00 | 496 | 61,951 | 4.10 | 0 | 2,661.1 |
| 2 Nairobi | 2.01 | 100.00 | 501 | 52,989 | 6.10 | 0 | 1,190.8 |
| 3 Kisumu | 6.24 | 53.78 | 597 | 69,239 | 16.30 | 314 | 236.9 |
| 4 Nyeri | 7.17 | 38.05 | 673 | 130,158 | 3.70 | 152 | 1.6 |
| 5 Tharaka Nithi | 9.07 | 31.99 | 474 | 144,989 | 3.20 | 196 | 2.0 |
| 6 Siaya | 7.69 | 36.70 | 624 | 81,047 | 21.00 | 415 | 0.0 |
| 7 Kirinyaga | 5.28 | 38.20 | 910 | 127,412 | 3.10 | 73 | 0.0 |
| 8 Embu | 8.11 | 32.16 | 607 | 109,155 | 2.80 | 131 | 1.8 |
| 9 Murang'a | 10.54 | 30.52 | 547 | 96,246 | 4.20 | 86 | 0.0 |
| 10 Makueni | 8.74 | 30.36 | 498 | 109,648 | 4.20 | 134 | 0.0 |
| 11 Taita Taveta | 7.74 | 32.58 | 531 | 94,030 | 4.10 | 199 | 14.1 |
| 12 Vihiga | 9.83 | 41.58 | 671 | 73,293 | 5.40 | 370 | 0.0 |
| 13 Laikipia | 6.23 | 37.23 | 475 | 111,431 | 2.70 | 196 | 0.5 |
| 14 Machakos | 7.47 | 52.38 | 508 | 77,465 | 3.80 | 62 | 0.0 |
| 15 Kitui | 7.90 | 29.50 | 466 | 115,739 | 4.50 | 175 | 0.0 |
| 16 Nyandarua | 7.17 | 33.26 | 439 | 92,383 | 3.50 | 158 | 13.2 |
| 17 Nakuru | 4.49 | 48.68 | 463 | 97,143 | 3.40 | 167 | 38.5 |
| 18 Homa Bay | 5.74 | 45.09 | 341 | 56,413 | 20.70 | 425 | 0.0 |
| 19 Kwale | 5.09 | 36.05 | 311 | 93,396 | 3.80 | 37 | 9.2 |
| 20 Kiambu | 4.71 | 55.77 | 458 | 72,909 | 4.00 | 46 | 0.0 |
| 21 Kericho | 4.91 | 45.03 | 434 | 115,354 | 2.90 | 271 | 0.0 |
| 22 Meru | 7.08 | 26.40 | 475 | 73,365 | 2.40 | 227 | 6.4 |
| 23 Uasin Gishu | 4.02 | 46.22 | 620 | 89,218 | 3.90 | 321 | 0.0 |
| 24 Kilifi | 5.19 | 45.32 | 488 | 68,593 | 3.80 | 349 | 16.4 |
| 25 Lamu | 5.38 | 44.12 | 306 | 82,877 | 3.00 | 240 | 6.0 |
| 26 Kisii | 8.31 | 34.83 | 259 | 72,958 | 4.40 | 121 | 0.0 |
| 27 Elgeyo Marakwet | 5.46 | 34.09 | 308 | 123,301 | 1.60 | 356 | 0.0 |
| 28 Kakamega | 6.29 | 30.10 | 505 | 74,687 | 4.50 | 386 | 0.0 |
| 29 Migori | 4.30 | 37.63 | 289 | 67,294 | 13.30 | 379 | 0.0 |
| 30 Bomet | 4.80 | 28.81 | 317 | 112,265 | 1.90 | 231 | 0.0 |
| 31 Busia | 5.75 | 28.60 | 477 | 70,486 | 7.70 | 460 | 0.0 |
| 32 Nyamira | 6.82 | 30.27 | 296 | 77,627 | 4.20 | 304 | 0.0 |
| 33 Kajado | 2.95 | 46.56 | 174 | 66,761 | 3.90 | 77 | 8.2 |
| 34 Nandi | 5.37 | 29.22 | 263 | 98,413 | 2.00 | 319 | 0.0 |
| 35 Baringo | 4.94 | 32.72 | 241 | 76,737 | 1.30 | 302 | 6.8 |
| 36 Trans Nzoia | 4.81 | 36.29 | 380 | 43,784 | 4.30 | 390 | 26.7 |
| 37 Isiolo | 3.97 | 46.37 | 243 | 62,433 | 3.20 | 275 | 0.0 |
| 38 Samburu | 3.84 | 36.10 | 115 | 75,691 | 1.80 | 346 | 0.5 |
| 39 Bungoma | 4.98 | 31.01 | 397 | 46,090 | 3.20 | 415 | 0.0 |
| 40 West Pokot | 3.67 | 24.37 | 176 | 75,064 | 1.60 | 427 | 0.7 |
| 41 Tana River | 4.18 | 33.56 | 181 | 49,277 | 1.30 | 321 | 0.0 |
| 42 Marsabit | 3.74 | 36.89 | 146 | 63,103 | 1.40 | 532 | 0.0 |
| 43 Narok | 3.19 | 23.85 | 105 | 44,061 | 2.70 | 154 | 0.0 |
| 44 Turkana | 3.24 | 32.93 | 197 | 49,836 | 3.20 | 606 | 0.0 |
| 45 Garissa | 2.18 | 40.05 | 77 | 42,593 | 0.80 | 368 | 0.0 |
| 46 Wajir | 1.98 | 44.01 | 60 | 40,480 | 0.10 | 709 | 0.0 |
| 47 Mandera | 1.88 | 33.25 | 57 | 41,005 | 0.20 | 1,053 | 0.0 |
| Max | 10.54 | 100.00 | 909.56 | 144,989.09 | 21.00 | 1,053.30 | 2,661.10 |
| Q3 | 7.12 | 44.58 | 499.77 | 96,694.87 | 4.20 | 374.45 | 6.20 |
| Mean | 5.47 | 39.63 | 386.76 | 80,221.07 | 4.45 | 282.33 | 90.24 |
| Median | 5.19 | 36.10 | 434.17 | 75,063.96 | 3.50 | 274.70 | 0.00 |
| Q1 | 3.97 | 31.01 | 242.64 | 62,433.15 | 2.40 | 152.20 | 0.00 |
| Min | 1.88 | 23.85 | 57.06 | 40,479.60 | 0.10 | 0.00 | 0.00 |
| STD | 2.10 | 14.80 | 185.83 | 26,059.03 | 4.42 | 192.53 | 417.08 |
| CV | 0.38 | 0.37 | 0.48 | 0.32 | 0.99 | 0.68 | 4.62 |

Source: Author's Computation

Table A-4-b Summary results for the population risk indicator scores

| Indicator | Indicator 1 | Indicator 2 | Indicator 3 | Indicator 4 | Indicator 5 | Indicator 7 | Indicator 8 | Overall Score (Risk) |
|--------------------|-------------------------------|-------------------------------------|--|--|---|---|--|----------------------|
| County. | % Population (60+ years) 2019 | % Urban population (Year = 2015/16) | Mortality Rate Deaths per 100,000 population (Year = 2018) | Morbidity Rate First Attendance Cases per 100,000 population (Year = 2018) | HIV prevalence adults (15 to 49 yrs) Overall Prevalence (Year = 2017) | Average Distance to Airport (Year = 2020) | Visitors to Game Parks and Museums (Year = 2018) | |
| 1 Mombasa | 10.79 | 100.00 | 51.45 | 20.55 | 19.14 | 100.00 | 100.00 | 57.42 |
| 2 Nairobi | 1.45 | 100.00 | 52.10 | 11.97 | 28.71 | 100.00 | 100.00 | 56.32 |
| 3 Kisumu | 50.33 | 39.31 | 63.28 | 27.52 | 77.51 | 70.24 | 50.00 | 54.03 |
| 4 Nyeri | 61.13 | 18.64 | 72.28 | 85.81 | 17.22 | 85.55 | 25.00 | 52.23 |
| 5 Tharaka Nithi | 82.97 | 10.69 | 48.96 | 100.00 | 14.83 | 81.44 | 25.00 | 51.98 |
| 6 Siaya | 67.12 | 16.87 | 66.53 | 38.82 | 100.00 | 60.62 | 0.00 | 49.99 |
| 7 Kirinyaga | 39.28 | 18.84 | 100.00 | 83.18 | 14.35 | 93.09 | 0.00 | 49.82 |
| 8 Embu | 71.94 | 10.91 | 64.53 | 65.71 | 12.92 | 87.56 | 25.00 | 48.37 |
| 9 Murang'a | 100.00 | 8.75 | 57.51 | 53.36 | 19.62 | 91.83 | 0.00 | 47.30 |
| 10 Makueni | 79.25 | 8.55 | 51.76 | 66.18 | 19.62 | 87.31 | 0.00 | 44.67 |
| 11 Taita Taveta | 67.64 | 11.47 | 55.62 | 51.24 | 19.14 | 81.13 | 25.00 | 44.46 |
| 12 Vihiga | 91.80 | 23.28 | 72.04 | 31.40 | 25.36 | 64.86 | 0.00 | 44.10 |
| 13 Laikipia | 50.28 | 17.57 | 48.97 | 67.89 | 12.44 | 81.42 | 25.00 | 43.37 |
| 14 Machakos | 64.57 | 37.47 | 52.93 | 35.39 | 17.70 | 94.15 | 0.00 | 43.17 |
| 15 Kitui | 69.48 | 7.42 | 47.98 | 72.01 | 21.05 | 83.37 | 0.00 | 43.04 |
| 16 Nyandarua | 61.13 | 12.35 | 44.85 | 49.66 | 16.27 | 85.03 | 25.00 | 42.04 |
| 17 Nakuru | 30.14 | 32.61 | 47.67 | 54.22 | 15.79 | 84.16 | 25.00 | 41.37 |
| 18 Homa Bay | 44.59 | 27.90 | 33.28 | 15.25 | 98.56 | 59.63 | 0.00 | 39.89 |
| 19 Kwale | 37.03 | 16.02 | 29.80 | 50.63 | 17.70 | 96.51 | 25.00 | 38.96 |
| 20 Kiambu | 32.62 | 41.92 | 47.09 | 31.03 | 18.66 | 95.66 | 0.00 | 38.14 |
| 21 Kericho | 34.95 | 27.81 | 44.24 | 71.64 | 13.40 | 74.26 | 0.00 | 38.04 |
| 22 Meru | 59.99 | 3.35 | 48.99 | 31.47 | 11.00 | 78.48 | 25.00 | 36.90 |
| 23 Uasin Gishu | 24.70 | 29.37 | 65.98 | 46.64 | 18.18 | 69.54 | 0.00 | 36.34 |
| 24 Kilifi | 38.25 | 28.19 | 50.50 | 26.90 | 17.70 | 66.84 | 25.00 | 36.20 |
| 25 Lamu | 40.38 | 26.62 | 29.17 | 40.57 | 13.88 | 77.21 | 25.00 | 36.12 |
| 26 Kisii | 74.26 | 14.42 | 23.67 | 31.08 | 20.57 | 88.54 | 0.00 | 36.08 |
| 27 Elgeyo Marakwet | 41.38 | 13.45 | 29.39 | 79.25 | 7.18 | 66.25 | 0.00 | 33.84 |
| 28 Kakamega | 50.96 | 8.21 | 52.60 | 32.73 | 21.05 | 63.32 | 0.00 | 32.70 |
| 29 Migori | 27.94 | 18.10 | 27.22 | 25.66 | 63.16 | 64.04 | 0.00 | 32.30 |
| 30 Bomet | 33.66 | 6.51 | 30.48 | 68.69 | 8.61 | 78.06 | 0.00 | 32.29 |
| 31 Busia | 44.63 | 6.24 | 49.20 | 28.71 | 36.36 | 56.29 | 0.00 | 31.63 |
| 32 Nyamira | 57.02 | 8.42 | 28.00 | 35.54 | 19.62 | 71.13 | 0.00 | 31.39 |
| 33 Kajiado | 12.30 | 29.83 | 13.67 | 25.15 | 18.18 | 92.73 | 25.00 | 30.98 |
| 34 Nandi | 40.33 | 7.05 | 24.14 | 55.43 | 9.09 | 69.73 | 0.00 | 29.40 |
| 35 Baringo | 35.38 | 11.65 | 21.63 | 34.69 | 5.74 | 71.35 | 25.00 | 29.35 |
| 36 Trans Nzoia | 33.80 | 16.33 | 37.89 | 3.16 | 20.10 | 62.98 | 25.00 | 28.47 |
| 37 Isiolo | 24.08 | 29.57 | 21.77 | 21.01 | 14.83 | 73.92 | 0.00 | 26.45 |
| 38 Samburu | 22.60 | 16.09 | 6.84 | 33.69 | 8.13 | 67.19 | 25.00 | 25.65 |
| 39 Bungoma | 35.76 | 9.40 | 39.84 | 5.37 | 14.83 | 60.63 | 0.00 | 23.69 |
| 40 West Pokot | 20.70 | 0.68 | 13.95 | 33.09 | 7.18 | 59.46 | 25.00 | 22.86 |
| 41 Tana River | 26.52 | 12.75 | 14.57 | 8.42 | 5.74 | 69.52 | 0.00 | 19.65 |
| 42 Marsabit | 21.51 | 17.12 | 10.47 | 21.65 | 6.22 | 49.47 | 0.00 | 18.06 |
| 43 Narok | 15.14 | 0.00 | 5.66 | 3.43 | 12.44 | 85.43 | 0.00 | 17.44 |
| 44 Turkana | 15.73 | 11.92 | 16.44 | 8.95 | 14.83 | 42.47 | 0.00 | 15.76 |
| 45 Garissa | 3.42 | 21.27 | 2.37 | 2.02 | 3.35 | 65.11 | 0.00 | 13.93 |
| 46 Wajir | 1.10 | 26.47 | 0.38 | 0.00 | 0.00 | 32.71 | 0.00 | 8.67 |
| 47 Mandera | 0.00 | 12.35 | 0.00 | 0.50 | 0.48 | 0.00 | 0.00 | 1.90 |
| Max | 100.00 | 100.00 | 100.00 | 100.00 | 100.00 | 100.00 | 100.00 | 57.42 |
| Q3 | 60.56 | 27.22 | 51.93 | 53.79 | 19.62 | 85.49 | 25.00 | 43.74 |
| Mean | 41.49 | 20.72 | 38.67 | 38.03 | 20.82 | 73.20 | 13.83 | 35.25 |
| Median | 38.25 | 16.09 | 44.24 | 33.09 | 16.27 | 73.92 | 0.00 | 36.20 |
| Q1 | 24.08 | 9.40 | 21.77 | 21.01 | 11.00 | 64.04 | 0.00 | 28.47 |
| Min | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 1.90 |
| STD | 24.23 | 19.43 | 21.80 | 24.93 | 21.13 | 18.28 | 22.37 | 12.50 |
| CV | 0.58 | 0.94 | 0.56 | 0.66 | 1.02 | 0.25 | 1.62 | 0.35 |

Source: Author's Computation

Table A-5 and Table A-6 below show the correlations of each individual indicator with its respective index. In this diagram, each individual indicator is positively correlated with its index.

Table A-5: Correlation of individual indicators for capacity with overall capacity index

| | | |
|--------------|---|-----|
| Indicator 1 | Per Capita Health Expenditure (Recurrent) | 0.5 |
| Indicator 2 | Doctors per 100,000 population | 0.8 |
| Indicator 3 | Nurses per 100,000 population | 0.6 |
| Indicator 4 | Clinical Officers per 100,000 population | 0.8 |
| Indicator 5 | Public Health Workers per 100,000 population | 0.7 |
| Indicator 6 | Medical Workers per 100,000 population | 0.5 |
| Indicator 7 | Primary Health Facilities per 100,000 population | 0.3 |
| Indicator 8 | County and Sub County Health Facilities per 100,000 population | 0.5 |
| Indicator 9 | Total Beds and Cots Facilities per 100,000 population | 0.7 |
| Indicator 10 | Number of Medical Labs per 100,000 population | 0.3 |
| Indicator 11 | Facilities for Improved Water and Sanitation (per cent of population with access) | 0.5 |
| Indicator 12 | Facilities for Hand Washing near Toilets (per cent of population with access) | 0.6 |
| Indicator 13 | Facilities for Communication (per cent of population with mobile phones) | 0.8 |
| Indicator 14 | Total Health Facilities per 100 Km ² | 0.4 |

Source: Author's Computation

Table A-6: Correlation of individual indicators for risk with overall population risk index

| | | |
|-------------|---|-----|
| Indicator 1 | per cent Population (60+ years) | 0.6 |
| Indicator 2 | per cent Urban population | 0.4 |
| Indicator 3 | Mortality Rate (Deaths per 100,000 population) | 0.8 |
| Indicator 4 | Morbidity Rate (Cases per 100,000 population) | 0.6 |
| Indicator 5 | HIV prevalence (per cent) | 0.4 |
| Indicator 6 | Distance to the nearest city (Nairobi or Mombasa) | 0.7 |
| Indicator 7 | Visitors to Game Parks and Museums | 0.5 |

Source: Author's Computation



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